

## Morphisms of Klein surfaces.

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### Abstract

We give an elementary proof of a theorem of Andreian Cazacu on the behaviour of morphisms of Klein surfaces under composition.

### 1 Introduction

Klein himself introduced in the past century the notion of Klein surface as a way to endow conformal structures on surfaces which may be non-orientable or with boundary. Of course, this notion agrees with the classical one of Riemann surface when dealing with orientable surfaces without boundary. In 1971, Alling and Greenleaf [A-G], founded the theory of Klein surfaces in modern terms. In addition to its own interest, this theory acquires more relevance since they proved that, in the *same way* as a compact Riemann surface is associated with a complex projective smooth algebraic curve, each compact Klein surface  $S$  can be associated with a real projective smooth algebraic curve whose field of rational functions is the field of meromorphic functions on  $S$ . Hence, the problem of classifying real algebraic curves up to birational transformations and that of determining the group of automorphisms of a real algebraic curve, are closely related to the study of isomorphisms between Klein surfaces.

Let us denote by  $\partial S$  the boundary of the Klein surface  $S$ . In this

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paper we prove the following

**Theorem.** *Let  $f : S \rightarrow S'$  and  $g : S' \rightarrow S''$  be two non-constant continuous maps between Klein surfaces such that  $f(\partial S) \subset \partial S'$  and  $g(\partial S') \subset \partial S''$ . Consider the following statements:*

- (1)  $f$  is a morphism
- (2)  $g$  is a morphism
- (3)  $g \circ f$  is a morphism.

Then:

- (i) (1) and (2) imply (3).
- (ii) If  $f$  is surjective, (1) and (3) imply (2).
- (iii) If  $f$  is open, (2) and (3) imply (1).

The basic part (i) of this theorem was proved in [A-G] while the statements of parts (ii) and (iii) are due to Andreian Cazacu [A]. Her proof of part (iii) is based on a powerful theorem of S. Stoilow [St, Ch. V, II.6], which was originally stated in the setting of interior transformations.

Our goal is to give a self-contained and easier proof of part (iii) by using only elementary and well-known results of complex analysis. For the sake of completeness we also include a proof of part (ii), which as far as we know does not appear in the literature.

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## 2 Preliminaries

### 2.1 Dianalyticity

Let  $U$  be an open set in  $\mathbb{C}$ . A function  $f : U \rightarrow \mathbb{C}$  is *antianalytic on  $U$*  if its complex conjugate,  $\bar{f}$ , is analytic on  $U$ , and *dianalytic on  $U$*  if its restriction to every connected component of  $U$  is either analytic or antianalytic. Easy computations show that

- If  $U$  is connected and  $f$  is simultaneously analytic and antianalytic, then  $f$  is constant.

- Let  $f$  and  $g$  be dianalytic functions on an open connected set  $U$ . If  $f$  and  $g$  are both either analytic or antianalytic, then  $g \circ f$  is analytic. Otherwise,  $g \circ f$  is antianalytic.

Let  $A$  be open in  $\mathbb{C}^+ := \{z \in \mathbb{C} : \text{Im}z \geq 0\}$  but not in  $\mathbb{C}$ . A function  $f : A \rightarrow \mathbb{C}$  is said to be *dianalytic on  $A$*  if it is the restriction of a dianalytic function  $f_U : U \rightarrow \mathbb{C}$  where  $U$  is an open set in  $\mathbb{C}$  containing  $A$ .

## 2.2 Klein surfaces

A *surface* is a Hausdorff connected topological space  $S$  together with a family  $\mathcal{A} = \{(U_i, \varphi_i) : i \in I\}$  such that  $\{U_i : i \in I\}$  is an open covering of  $S$  and each map  $\varphi_i : U_i \rightarrow \varphi_i(U_i)$  is a homeomorphism onto an open set of  $\mathbb{C}^+$ . The family  $\mathcal{A}$  is a *topological atlas* on  $S$  and its elements are *charts*. The *transition functions* of  $S$  are the homeomorphisms

$$\varphi_i \varphi_j^{-1} : \varphi_j(U_i \cap U_j) \rightarrow \varphi_i(U_i \cap U_j).$$

The *orientability* of  $S$  is defined as for a real 2-manifold, under the identification of  $\mathbb{C}$  with  $\mathbb{R}^2$ . The *boundary* of  $S$  is the set

$$\partial S = \{x \in S : \varphi_i(x) \in \mathbb{R} \text{ for all } i \in I \text{ with } x \in U_i\}.$$

The topological atlas  $\mathcal{A}$  is said to be *dianalytic* if the transition functions are dianalytic. We say that two dianalytic atlases  $\mathcal{A}$  and  $\mathcal{B}$  are equivalent if  $\mathcal{A} \cup \mathcal{B}$  is dianalytic. A *dianalytic structure on  $S$*  is the equivalence class of a dianalytic atlas on  $S$ .

A *Klein surface* is a surface  $S$  equipped with a dianalytic structure.

## 2.3 Morphisms of Klein surfaces

The *folding map* is the open continuous map

$$\Phi : \mathbb{C} \rightarrow \mathbb{C}^+ : x + \sqrt{-1}y \mapsto x + \sqrt{-1}|y|.$$

Obviously,  $\Phi(z) = \Phi(\bar{z})$  and if  $A$  is a subset of  $\mathbb{C}^+$  then  $\Phi^{-1}(A) = A \cup \bar{A}$  where  $\bar{A} := \{z \in \mathbb{C} : \bar{z} \in A\}$ .

A *morphism* between the Klein surfaces  $S$  and  $S'$  is a continuous map  $f : S \rightarrow S'$  such that

- i)  $f(\partial S) \subset \partial S'$ ,  
 ii) given  $s \in S$ , there exist charts  $(U, \varphi), (V, \psi)$  with  $s \in U$  and  $f(U) \subset V$  and an analytic function  $F : \varphi(U) \rightarrow \mathbb{C}$  such that the following diagram commutes:

$$\begin{array}{ccc} U & \xrightarrow{f} & V \\ \varphi \downarrow & & \downarrow \psi \\ \varphi(U) & \xrightarrow{F} & \mathbb{C} \xrightarrow{\Phi} \mathbb{C}^+ \end{array}$$

Since  $\varphi(U)$  is contained in  $\mathbb{C}^+$ ,  $F$  extends to an analytic function  $\widehat{F} : \varphi(U) \cup \overline{\varphi(U)} \rightarrow \mathbb{C}$  by taking

$$\widehat{F}(z) = \begin{cases} F(z) & \text{if } z \in \varphi(U), \\ \overline{F(\bar{z})} & \text{if } z \in \overline{\varphi(U)}. \end{cases}$$

Indeed, if  $\varphi(U)$  and  $\overline{\varphi(U)}$  are disjoint, then  $\widehat{F}$  is analytic on  $\overline{\varphi(U)}$  since it is the composite of two antianalytic functions, namely, the complex conjugation and  $\overline{F}$ . In case of  $\varphi(U)$  and  $\overline{\varphi(U)}$  are not disjoint,  $\varphi(U) \cap \mathbb{R}$  is not empty and the analyticity of  $\widehat{F}$  on  $\varphi(U) \cup \overline{\varphi(U)}$  is a consequence of Schwarz's Reflection Principle [S, Th. 16.4], provided that  $F$  maps the reals into the reals. But  $F(\varphi(U) \cap \mathbb{R}) = F(\varphi(U \cap \partial S))$  and if  $x \in U \cap \partial S$  then  $f(x) \in V \cap \partial S'$ ; therefore  $\Phi F \varphi(x) = \psi f(x) \in \mathbb{R}$  as required.

As to the derivative of  $\widehat{F}$ , straightforward computations show that it satisfies the same formula than  $\widehat{F}$ : i.e.,  $\widehat{F}'(z) = \overline{\widehat{F}'(\bar{z})}$ .

From condition i) in the definition, if  $S'$  has no boundary, then neither has  $S$ . In particular, when dealing with orientation preserving morphisms between Riemann surfaces,  $\Phi$  can be omitted in the diagram. Hence this definition of morphism agrees with the classical one.

It is well-known that the image of an open set of  $\mathbb{C}$  by a non-constant complex analytic function is also open. It follows that a non-constant morphism between Riemann surfaces is an open map. The same holds true for morphisms between Klein surfaces:

**Claim 1.** *If  $f : S \rightarrow S'$  is a non-constant morphism between Klein surfaces, then  $f$  is open.*

**Proof.** It suffices to show that  $f(U)$  is open for each  $U$  as in the definition. Since  $f(U) = \psi^{-1}\Phi F\varphi(U)$  and  $\Phi$  is an open map, the claim is obvious if  $\varphi(U)$  is open in  $\mathbb{C}$ . If, on the contrary,  $\varphi(U)$  is not open in  $\mathbb{C}$  but in  $\mathbb{C}^+$ , then  $F\varphi(U)$  may not be so in  $\mathbb{C}$ . However, it is easy to check that  $\Phi F\varphi(U)$  equals  $\Phi\widehat{F}(\varphi(U) \cup \overline{\varphi(U)})$  and since  $\varphi(U) \cup \overline{\varphi(U)}$  is open in  $\mathbb{C}$ , we conclude as above that  $f(U) = \psi^{-1}\Phi\widehat{F}(\varphi(U) \cup \overline{\varphi(U)})$  is open in  $S'$ .

This result points out that we cannot drop the assumption “ $f$  is open” in part (iii) of the theorem, as the following example, due to Andreian Cazacu [A], shows. Set  $f : \mathbb{C} \rightarrow \mathbb{C} : x + \sqrt{-1}y \mapsto x + \sqrt{-1}|y|$  and  $g = \Phi : \mathbb{C} \rightarrow \mathbb{C}^+$  ( $f$  is not the folding map since  $f$  has range  $\mathbb{C}$ ). Clearly  $g = g \circ f : \mathbb{C} \rightarrow \mathbb{C}^+$  is a morphism but not  $f$  since it is not open.

To finish this section, let us point out another property of morphisms between Klein surfaces: they are discrete, *i.e.*, they have discrete fibers.

**Claim 2.** *If  $f : S \rightarrow S'$  is a non-constant morphism between Klein surfaces, then  $f$  is discrete.*

**Proof.** It is enough to show that for each  $s \in S$  the fiber  $f^{-1}(f(s))$  is discrete in  $U$ , the neighbourhood of  $s$  given in the definition of morphism. Since the fibers of  $\Phi$  are finite, the proof reduces to verify that the preimage of a finite set by a non-constant complex analytic function is a discrete set. This is an easy exercise in complex analysis for which only the Identity Principle [S, Th 10.8] is needed.

### 3 Proof of the theorem

In this proof all the neighbourhoods considered will be open, and the open and connected subsets of  $\mathbb{C}$  will be called domains. When restricting a map  $h$ , the expression  $h|_X$  will be written  $h|$  if no confusion may arise.

(i) (1)+(2) imply (3).

This was proved by Alling and Greenleaf [A-G, Theorem 1.4.3]. The proof is based on the Schwarz's Reflection Principle and the fact that the composition of analytic maps is also analytic.

(ii) If  $f$  is surjective, (1)+(3) imply (2).

Given  $s' \in S'$  let  $s \in S$  be such that  $f(s) = s'$ . Since  $f$  and  $g \circ f$  are morphisms there exist charts  $(U, \varphi)$ ,  $(V, \psi)$  and  $(W, \xi)$  with  $s \in U$ ,

$f(U) = V, g(V) = W$  and there exist analytic maps  $F$  and  $H$  such that  $\Phi F = \psi f \varphi^{-1}$  and  $\Phi H = \xi g f \varphi^{-1}$ .

$$\begin{array}{ccccc}
 U & \xrightarrow{f} & V & \xrightarrow{g} & W \\
 \varphi \downarrow & & \psi \downarrow & & \xi \downarrow \\
 \varphi(U) & \xrightarrow{F} & \mathbb{C} & \xrightarrow{\Phi} & \psi(V) \xrightarrow{G} \mathbb{C} \xrightarrow{\Phi} \xi(W) \\
 & \searrow H & & \nearrow & \\
 & & & & 
 \end{array}$$

We look for an analytic map  $G : \psi(V) \rightarrow \xi(W) \cup \overline{\xi(W)}$  such that  $\Phi G = \xi g \psi^{-1}$ . Let  $\widehat{F}$  and  $\widehat{H}$  be the analytic extensions of  $F$  and  $H$ , respectively, to  $A := \varphi(U) \cup \overline{\varphi(U)}$  as defined in section 2. The diagram suggests how to find  $G$ : roughly speaking it will be the composite of local inverses of  $\widehat{F}$  with  $\widehat{H}$ .

1. The analytic function  $\widehat{F} : A \rightarrow \mathbb{C}$  has analytic local inverses except in the discrete set  $D := \{a \in A : \widehat{F}'(a) = 0\}$ . That is, for each  $p \in A \setminus D$  there exist neighbourhoods of  $p$  and  $\widehat{F}(p)$  in  $A \setminus D$  and  $\widehat{F}(A \setminus D)$ , that we shall denote by  $A_p$  and  $B_p$ , respectively, and an analytic map  $L_p : B_p \rightarrow A_p$  such that  $\widehat{F}(A_p) = B_p$ ,  $\widehat{F}|_{A_p} \circ L_p = id_{B_p}$  and  $L_p \circ \widehat{F}|_{A_p} = id_{A_p}$ .

Restricting charts if necessary, we will suppose that  $D$  is a finite set.

2. For each  $p \in A \setminus D$  we define the non-constant analytic map

$$\widehat{G}_p := \widehat{H} \circ L_p : B_p \rightarrow \mathbb{C}.$$

We claim that  $\widehat{G}_p = \widehat{G}_q$  in  $B_p \cap B_q$  if this intersection is nonempty. To see this we use the following lemma.

**Lemma.** *Let  $B$  be a domain in  $\mathbb{C}$  and let  $G_1, G_2 : B \rightarrow \mathbb{C}$  be two non-constant analytic maps such that  $\Phi G_1 = \Phi G_2$ . Then  $G_1 = G_2$ .*

**Proof.** Choose a nonempty domain  $Y$  of the preimage of  $\mathbb{C} \setminus \mathbb{R}$  under  $G_1$ . Then the sets  $M_1 = Y \cap \{G_1 = G_2\}$  and  $M_2 = Y \cap \{G_1 = \overline{G_2}\}$  are disjoint and closed on  $Y$ . Further,  $Y = M_1 \cup M_2$  since  $\Phi G_1 = \Phi G_2$  and

so, either  $Y = M_1$  or  $Y = M_2$ . In the latter case  $G_1$  should be both analytic and antianalytic on  $Y$ , i.e.  $G_1|_Y$  should be constant, which is impossible because  $G_1$  is an open map. Hence  $G_1 = G_2$  on  $Y$  and by the Identity Principle  $G_1 = G_2$  on  $B$ .

Back to our claim, it is enough to prove that  $\Phi\widehat{G}_p = \Phi\widehat{G}_q$ . In fact we shall show that both are equal to  $\xi g\psi^{-1}\Phi$ . Let  $y \in B_p \cap B_q$ .

If  $L_p(y) \in \varphi(U)$ ,

$$\Phi\widehat{G}_p(y) = \Phi\widehat{H}L_p(y) = \Phi HL_p(y) = \xi g\psi^{-1}\Phi FL_p(y) = \xi g\psi^{-1}\Phi(y)$$

and also if  $L_p(y) \in \overline{\varphi(U)}$ ,

$$\begin{aligned} \Phi\widehat{G}_p(y) &= \Phi\overline{H}\left(\overline{L_p(y)}\right) = \Phi\overline{H}\left(\overline{L_p(y)}\right) = \xi g\psi^{-1}\Phi F\left(\overline{L_p(y)}\right) = \\ &= \xi g\psi^{-1}\Phi\left(\overline{\widehat{F}(L_p(y))}\right) = \xi g\psi^{-1}\Phi\widehat{F}L_p(y) = \xi g\psi^{-1}\Phi(y). \end{aligned}$$

Analogously, for  $\widehat{G}_q$  we obtain  $\Phi\widehat{G}_q = \xi g\psi^{-1}\Phi$  as desired.

3. This allows us to glue together the functions  $\widehat{G}_p$  and define a global analytic function  $\widehat{G}$  on  $\widehat{F}(A \setminus D) = \cup_{p \in A \setminus D} B_p$  by

$$\widehat{G} : \widehat{F}(A \setminus D) \rightarrow \mathbb{C} : z \mapsto \widehat{G}_p(z) \quad \text{if } z \in B_p,$$

which verifies  $\Phi\widehat{G} = \xi g\psi^{-1}\Phi|_{\widehat{F}(A \setminus D)}$ .

Since  $\widehat{F}(A \setminus D) \supset \widehat{F}(A) \setminus \widehat{F}(D)$  and  $\widehat{F}(D)$  is a finite set, we may extend  $\widehat{G}$  analytically to  $\widehat{F}(A)$  by Riemann's Removable Singularities Theorem [S, Th. 11.4], provided that  $\widehat{G}$  is locally bounded in  $\widehat{F}(D)$ . But this is clear because  $\Phi\widehat{G}$  coincides with  $\xi g\psi^{-1}\Phi$ . This analytic extension, which we also denote by  $\widehat{G} : \widehat{F}(A) \rightarrow \mathbb{C}$ , is in particular defined on  $\psi(V)$  since

$$\psi(V) = \Phi F\varphi(U) \subset F\varphi(U) \cup \overline{F\varphi(U)} = \widehat{F}(A).$$

So, the restriction of  $\widehat{G}$  to  $\psi(V)$  is an analytic function  $G : \psi(V) \rightarrow \mathbb{C}$  which verifies  $\Phi G = \xi g\psi^{-1}$ . Hence  $g$  is a morphism.

Notice that if  $S$  is compact, then the assumption " $f$  is surjective" may be dropped because it is a consequence of the hypothesis. Indeed, since  $f$  is open and continuous,  $f(S)$  has to be both open and compact. Since  $S'$  is Hausdorff and connected,  $f(S) = S'$ .

(iii) If  $f$  is open, (2)+(3) imply (1).





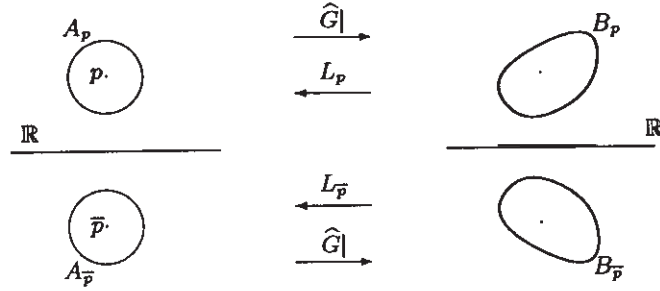


Figure 1.

Note that  $B_p$  does not intersect  $\mathbb{R}$  since  $A_p$  does not intersect  $D_2$ . In particular,  $B_p$  and  $B_{\bar{p}}$  are disjoint. (Figure 1 represents only the case  $B_p \subset \mathbb{C}^+$ ).

2. For each  $p \in Y \cap \mathbb{C}^+$  we define the analytic function

$$F_p : N_p := (\psi f \varphi^{-1})^{-1}(A_p) \rightarrow A_p \cup A_{\bar{p}}$$

$$x \mapsto \begin{cases} L_p \circ H(x) & \text{if } H(x) \in B_p \\ L_{\bar{p}} \circ H(x) & \text{if } H(x) \in B_{\bar{p}}. \end{cases}$$

The function  $F_p$  is well defined: if  $x \in (\psi f \varphi^{-1})^{-1}(A_p)$ , then  $\Phi H(x) = \xi g f \varphi^{-1}(x) = \Phi G \psi f \varphi^{-1}(x) \in \Phi G(A_p) = \Phi(B_p)$  and so,  $H(x) \in B_p \cup B_{\bar{p}}$ .

We claim that  $F_p = F_q$  in  $N_p \cap N_q$  if this intersection is nonempty. Indeed, if  $x \in N_p \cap N_q$  then  $y := \psi f \varphi^{-1}(x)$  belongs to  $A_p \cap A_q$  and so  $\Phi H(x) = \Phi G(y)$  belongs to  $B_p \cap B_q$ . This yields two possibilities:

If  $H(x) = G(y)$ , then it belongs to  $B_p \cap B_q$ ; thus

$$F_p(x) = L_p H(x) = L_p G(y) = y = L_q G(y) = L_q H(x) = F_q(x).$$

Analogously, if  $H(x) = \overline{G(y)} = \widehat{G}(\bar{y})$ , then it belongs to  $B_{\bar{p}} \cap B_{\bar{q}}$ ; thus

$$F_p(x) = L_{\bar{p}} H(x) = L_{\bar{p}} \widehat{G}(\bar{y}) = \bar{y} = L_{\bar{q}} \widehat{G}(\bar{y}) = L_{\bar{q}} H(x) = F_q(x).$$

This proves the claim. Further, in both cases we have obtained the identity

$$\Phi F_p(x) = \psi f \varphi^{-1}(x).$$

As a consequence, if we set

$$N := \bigcup_{p \in Y \cap \mathbb{C}^+} N_p \subset \varphi(U),$$

we get a well defined analytic function  $F^* : N \rightarrow \mathbb{C}$  given by  $F^*(x) = F_p(x)$  if  $x \in N_p$ , which verifies  $\Phi F^* = \psi f \varphi^{-1}|_N$ . Moreover, it also verifies  $\widehat{G}F^* = H|_N$ .

In order to extend  $F^*$  to  $\varphi(U)$  we have to assure that  $\varphi(U) \setminus N$  is *thin enough*.

3. For short, we denote

$$l := \psi f \varphi^{-1} : \varphi(U) \rightarrow \psi(V),$$

which is a continuous and open map because  $f$  is so. Since  $Y \cap \mathbb{C}^+ = \cup_p A_p$ , where  $p$  runs over  $Y \cap \mathbb{C}^+$ , we have  $l^{-1}(Y \cap \mathbb{C}^+) = \cup_p l^{-1}(A_p) = N$ . Therefore, since  $Y = A \setminus (D_1 \cup D_2)$  and  $\varphi(U) = l^{-1}(A)$  one gets

$$\varphi(U) \setminus N = l^{-1}(A) \setminus l^{-1}(Y \cap \mathbb{C}^+) = l^{-1}(D_1) \cup l^{-1}(D_2).$$

3.1.  $l^{-1}(D_1)$  is discrete in  $\varphi(U)$ .

First, let us note that for any  $x$  in  $l^{-1}(D_1)$ ,  $\Phi H(x) = \Phi G l(x)$  belongs to  $\Phi G(D_1)$ , i.e.,  $H(x) \in G(D_1) \cup \widehat{G}(D_1) = \widehat{G}(D_1)$  and so,  $x$  belongs to  $H^{-1}(\widehat{G}(D_1))$ . Thus  $l^{-1}(D_1) \subset H^{-1}(\widehat{G}(D_1))$  and we only have to prove the discreteness of  $H^{-1}(\widehat{G}(D_1))$ . But this follows from the proof of claim 2 of section 2 since we may suppose from the beginning that  $D_1$  is a finite set.

Note that this proves that  $l$ , and so  $f$ , is a discrete map.

3.2.  $l^{-1}(D_2)$  is a proper (global) real analytic set of  $\varphi(U)$ .

Indeed, from the equality  $\Phi \widehat{G} l = \Phi H$  it follows readily that  $l^{-1}(D_2)$  equals  $H^{-1}(\mathbb{R})$ , i.e., it is the zero set in  $\varphi(U)$  of the imaginary part of  $H$ .

Summarizing, the complement of  $N$  in  $\varphi(U)$  is *thin*: it is the union of a discrete set and a proper real analytic set.

4. Continuous extension of  $F^*$ .

We have defined an analytic function

$$F^* : \varphi(U) \setminus (l^{-1}(D_1) \cup H^{-1}(\mathbb{R})) \rightarrow \mathbb{C}$$

which verifies  $\Phi F^* = l|$ . Evidently,  $l$  extends continuously  $\Phi F^*$  to  $\varphi(U)$  which in particular implies that  $F^*$  is locally bounded in  $\varphi(U)$ . Thus,  $F^*$  may be extended analytically to the discrete set  $l^{-1}(D_1) \setminus H^{-1}(\mathbb{R})$ . We also call  $F^* : \varphi(U) \setminus H^{-1}(\mathbb{R}) \rightarrow \mathbb{C}$  this extension.

Suppose we have found a continuous extension  $F : \varphi(U) \rightarrow \mathbb{C}$ . Then  $\Phi F$  has to coincide with  $l$ , that is, for each  $x \in \varphi(U)$ ,  $F(x)$  has to be either  $l(x)$  or  $\overline{l(x)}$ . We shall show that the behaviour of  $F^*$  near  $x$  gives the right choice that makes  $F$  continuous.

First, it is obvious that  $F^*$  extends continuously to any point  $x$  in  $l^{-1}(\mathbb{R})$ , which is a subset of  $H^{-1}(\mathbb{R})$ , by defining  $F(x) = l(x) = \overline{l(x)}$ .

Let  $M$  be the subset of  $H^{-1}(\mathbb{R}) \setminus l^{-1}(\mathbb{R})$  consisting of those points  $x$  such that for any neighbourhood  $U^x$  of  $x$ ,  $U^x \setminus H^{-1}(\mathbb{R})$  has more than two connected components.

The set  $M$  is discrete in  $\varphi(U)$  since  $H'$  vanishes on it. Indeed, given  $x \in M$ , if  $H'(x) \neq 0$  then  $H|^{-1}$  is a homeomorphism between a small open disc  $U^{H(x)}$  centered at  $H(x)$  and a neighbourhood  $U^x$  of  $x$ . In particular, the number of connected components of  $U^{H(x)} \setminus \mathbb{R}$  and that of  $U^x \setminus H^{-1}(\mathbb{R})$  should coincide. This is impossible if  $x \in M$  since  $U^{H(x)} \setminus \mathbb{R}$  has exactly two connected components.

Now we extend  $F^*$  continuously to  $H^{-1}(\mathbb{R}) \setminus M$ .

Since the extension is obvious for points in  $l^{-1}(\mathbb{R})$ , we just have to deal with points in  $(H^{-1}(\mathbb{R}) \setminus l^{-1}(\mathbb{R})) \setminus M$ . Given such a point  $x$ , let  $U^x$  be an open connected neighbourhood of  $x$  not intersecting  $l^{-1}(\mathbb{R})$  such that  $U^x \setminus H^{-1}(\mathbb{R})$  has two connected components. One of these components is mapped by  $H$  onto a domain in  $\mathbb{C}^+ \setminus \mathbb{R}$  and we denote it by  $U_+^x$ , and the other is mapped by  $H$  onto a domain in  $\mathbb{C}^- \setminus \mathbb{R}$  and we denote it by  $U_-^x$ . The reason is clear:  $H(U^x)$  is a domain in  $\mathbb{C}$  intersecting  $\mathbb{R}$  but  $H(U_+^x \cup U_-^x)$  does not intersect  $\mathbb{R}$ . Restricting  $U^x$  we may suppose that  $H(U_+^x) = \overline{H(U_-^x)}$ .

Let us denote by  $\delta$  the arc  $U^x \cap H^{-1}(\mathbb{R})$ . Our purpose is to extend  $F^*$  continuously to  $\delta$  and for this it is enough to prove that " $F^*(U_+^x)$  is contained in  $\mathbb{C}^+$  if and only if  $F^*(U_-^x)$  is contained in  $\mathbb{C}^+$ ". Indeed, since  $l(U^x)$  does not intersect  $\mathbb{R}$  the equality  $\Phi F^* = l$  gives that for  $\varepsilon \in \{+, -\}$ ,  $F^*|_{U_\varepsilon^x}$  equals either  $l|_{U_\varepsilon^x}$ , or  $\overline{l|_{U_\varepsilon^x}}$ . Now the image of  $l|_{U_\varepsilon^x}$  (respectively,  $\overline{l|_{U_\varepsilon^x}}$ ) is contained in  $\mathbb{C}^+$  (respectively,  $\mathbb{C}^-$ ). Thus if the claim is true, then either  $F^*|_{U_+^x \cup U_-^x} = l|_{U_+^x \cup U_-^x}$  or  $F^*|_{U_+^x \cup U_-^x} = \overline{l|_{U_+^x \cup U_-^x}}$ . Therefore the continuity of  $l$  and  $\overline{l}$  on  $U^x$  ensures the existence of a continuous extension of  $F^*|_{U_+^x \cup U_-^x}$  to  $\delta$ .

In order to prove the above claim, we first observe that  $Gl(U^x)$  is open in  $\mathbb{C}$ . Indeed, since  $l$  is an open map and  $l(U^x)$  does not intersect  $\mathbb{R}$ ,  $l(U^x)$  is open in  $\mathbb{C}$  and hence so is  $Gl(U^x)$ . Further, it contains a

real interval,  $Gl(\delta)$ , since  $l(\delta)$  is in  $D_2 = \widehat{G}^{-1}(\mathbb{R})$ .

Let us prove then our claim, i.e., that

$$F^*(U_+^x) \subset \mathbb{C}^+ \Leftrightarrow F^*(U_-^x) \subset \mathbb{C}^+.$$

If  $F^*(U_+^x) \subset \mathbb{C}^+$ , then  $Gl(U_+^x) = G\Phi F^*(U_+^x) = GF^*(U_+^x) = H(U_+^x)$  which is in  $\mathbb{C}^+$ . (Figure 2 illustrates this case).

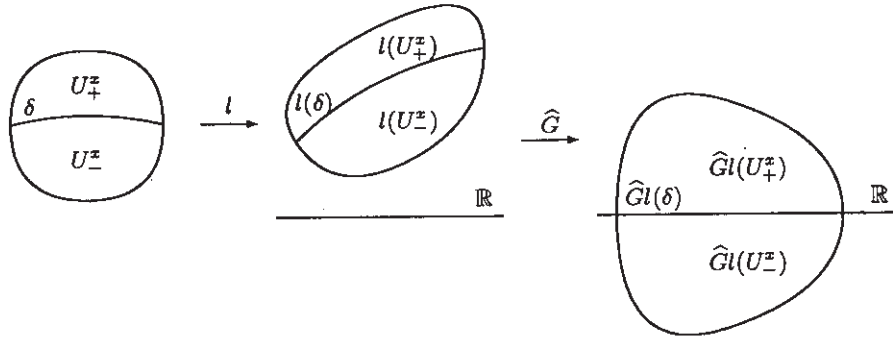


Figure 2.

Suppose that  $F^*(U_-^x) \subset \mathbb{C}^-$ . Then  $Gl(U_-^x)$  coincides with  $Gl(U_+^x)$  since  $Gl(U_-^x) = G\Phi F^*(U_-^x) = G\overline{F^*(U_-^x)} = \widehat{GF^*(U_-^x)} = \overline{H(U_-^x)} = H(U_+^x)$ . So,  $Gl(U^x) = Gl(U_+^x \cup U_-^x \cup \delta) = H(U_+^x) \cup Gl(\delta)$  which clearly contradicts the openness of  $Gl(U^x)$  in  $\mathbb{C}$ . Thus,  $F^*(U_+^x) \subset \mathbb{C}^+$  implies  $F^*(U_-^x) \subset \mathbb{C}^+$  and the converse follows by symmetry.

This completes the proof of the existence of a continuous extension  $F$  of  $F^*$  to  $\varphi(U) \setminus M$ , which also verifies  $\Phi F = l|_{\varphi(U) \setminus M}$ .

The last step is to show that  $F$  is, in fact, analytic on  $\varphi(U)$ .

5.  $F$  is analytic on  $\varphi(U)$ .

Let  $x$  be a point of  $H^{-1}(\mathbb{R}) \setminus M$  (recall that  $F$  is analytic outside  $H^{-1}(\mathbb{R})$ ). For such a point  $x$  there exists an open connected neighbourhood  $U^x$  in  $\varphi(U) \setminus M$  such that  $U^x \setminus H^{-1}(\mathbb{R})$  has two connected components  $U_+^x$  and  $U_-^x$ . Moreover, the boundaries of  $U_+^x$  and  $U_-^x$  share the open Jordan arc  $\delta := U^x \cap H^{-1}(\mathbb{R})$  which is rectifiable and accessible (accessible means that each  $a \in \delta$  can be joined to any point of  $U_+^x$ , respectively  $U_-^x$ , by a continuous curve  $\alpha : [0, 1] \rightarrow U_+^x$ , respectively  $U_-^x$ , with  $\alpha(0) = a$ ).

Hence, the analyticity of  $F$  in  $U^x$  is a consequence of the following

*Theorem.* ([S, Th. 16.3]) Let  $\{G_1, f_1\}$  and  $\{G_2, f_2\}$  be two elements (that is,  $G_i$  is a domain and  $f_i$  is an analytic function on  $G_i$ ) whose domains are disjoint but share an accessible Jordan boundary arc  $\delta$ , where  $\delta$  is open and rectifiable. Suppose  $f_i$  is continuous in  $G_i \cup \delta$  for  $i = 1, 2$  and moreover suppose that  $f_1$  and  $f_2$  coincide on  $\delta$ . Then the function  $\Theta$  defined by

$$\Theta(z) = \begin{cases} f_1(z) & \text{if } z \in G_1 \\ f_1(z) = f_2(z) & \text{if } z \in \delta \\ f_2(z) & \text{if } z \in G_2 \end{cases}$$

is analytic on  $G_1 \cup \delta \cup G_2$ .

Applying this theorem to the elements  $\{U_+^x, F|_{U_+^x}\}$  and  $\{U_-^x, F|_{U_-^x}\}$  we conclude that  $F$  is analytic on  $U^x \subset \varphi(U) \setminus M$  and therefore on  $\varphi(U) \setminus M$ .

Finally, the equality  $\Phi F = \psi f \varphi^{-1}|_{\varphi(U) \setminus M}$  shows that  $F$  is locally bounded in  $M$ . This, together with the discreteness of  $M$  ensures the analytic continuation of  $F$  to the whole  $\varphi(U)$ , where the equality  $\Phi F = \psi f \varphi^{-1}$  also holds. Hence,  $f$  is a morphism.

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