

## TREE STRUCTURE ON THE SET OF MULTIPLICATIVE SEMI-NORMS OF KRASNER ALGEBRAS $H(D)$

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### Abstract

Let  $\mathbb{K}$  be an algebraically closed field, complete for an ultrametric absolute value, let  $D$  be an infinite subset of  $\mathbb{K}$  and let  $H(D)$  be the set of analytic elements on  $D$  [7]. We denote by  $\text{Mult}(H(D), \mathcal{U}_D)$  the set of semi-norms  $\psi$  of the  $\mathbb{K}$ -vector space  $H(D)$  which are continuous with respect to the topology of uniform convergence on  $D$  and which satisfy further  $\psi(fg) = \psi(f)\psi(g)$  whenever  $f, g \in H(D)$  such that  $fg \in H(D)$ . This set is provided with the topology of simple convergence. By the way of a metric topology thinner than the simple convergence, we establish the equivalence between the connectedness of  $\text{Mult}(H(D), \mathcal{U}_D)$ , the arc-connectedness of  $\text{Mult}(H(D), \mathcal{U}_D)$  and the infraconnectedness of  $D$ . This generalizes a result of Berkovich given on affinoid algebras [2]. Next, we study the filter of neighbourhoods of an element of  $\text{Mult}(H(D), \mathcal{U}_D)$ , and we give a condition on the field  $\mathbb{K}$  such that this filter admits a countable basis. We also prove the local arc-connectedness of  $\text{Mult}(H(D), \mathcal{U}_D)$  when  $D$  is infraconnected. Finally, we study the metrizability of the topology of simple convergence on  $\text{Mult}(H(D), \mathcal{U}_D)$  and we give some conditions to have an equivalence with the metric topology defined above. The fundamental tool in this survey consists of circular filters.

Throughout this paper,  $\mathbb{K}$  will denote an algebraically closed field which is complete for a non-trivial ultrametric absolute value denoted by  $|\cdot|$ . We also denote by  $|\cdot|_\infty$  the classical absolute value of  $\mathbb{R}$ .

## 1 Preliminaries

**Definitions and notation:** Let  $a \in \mathbb{K}$  and  $r, r' > 0$  with  $r < r'$ . We

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denote by  $d(a, r)$  the *circumferenced disk*  $\{x \in \mathbb{K} \mid |a - x| \leq r\}$ , by  $d(a, r^-)$  the *non-circumferenced disk*  $\{x \in \mathbb{K} \mid |a - x| < r\}$ , by  $C(a, r)$  the *circle*  $\{x \in \mathbb{K} \mid |a - x| = r\}$ , by  $\Gamma(a, r, r')$  the *non-circumferenced annulus*  $\{x \in \mathbb{K} \mid r < |a - x| < r'\}$ , and by  $\Delta(a, r, r')$  the *circumferenced annulus*  $\{x \in \mathbb{K} \mid r \leq |a - x| \leq r'\}$ . We put  $|\mathbb{K}| = \{|x| \mid x \in \mathbb{K}\}$  and we denote by  $\mathbb{k}$  the *residue class field*  $d(0, 1)/d(0, 1^-)$ . The field  $\mathbb{K}$  will be said to be *weakly valued* if both  $|\mathbb{K}|$  and  $\mathbb{k}$  are countable. Else  $\mathbb{K}$  will be said to be *strongly valued*.

In any topological space  $E$ , the closure of a subset  $A$  is denoted by  $\overline{A}$ , and the interior is denoted by  $\overset{\circ}{A}$ .

Let  $D$  be an infinite subset of  $\mathbb{K}$ . We denote by  $\tilde{D}$  the smallest circumferenced disk which contains  $D$ . We call *holes* of  $D$  the maximal non-circumferenced disks of  $\tilde{D} \setminus \overline{D}$ . The set of holes of  $D$  forms a partition of  $\tilde{D} \setminus \overline{D}$ , [7]. We write  $R(D)$  the  $\mathbb{K}$ -subalgebra of  $\mathbb{K}^D$  of the rational functions with no poles in  $D$ . We denote by  $H(D)$  the completion of  $R(D)$  for the topology  $\mathcal{U}_D$  of uniform convergence on  $D$ . The elements of  $H(D)$  are called the *analytic elements on  $D$*  [4], [7].

We denote by  $\mathcal{A}$  the set of the  $D \subset \mathbb{K}$  such that  $H(D)$  is a  $\mathbb{K}$ -algebra. It is known that  $D \in \mathcal{A}$  if and only if  $\overline{D} \setminus D \subset \overset{\circ}{\tilde{D}}$  and  $\tilde{D} \setminus \overline{D}$  is bounded [5, Th. III.6]).

Let  $D \subset \mathbb{K}$ . Then  $D$  is said to be *infraconnected* if, for all  $a \in D$ , the set  $\{|x - a|; x \in \mathbb{K}\}$  is an interval of  $\mathbb{R}$ , [4], [5] and [7]. A closed bounded infraconnected set  $B$  in  $\mathbb{K}$  is said to be *affinoid* if it only admits finitely many holes, if their diameters belong to  $|\mathbb{K}|$  and if  $\text{diam}(B) \in |\mathbb{K}|$ . More generally, a bounded set  $D$  in  $\mathbb{K}$  will be said to be *affinoid* if it is the union of finitely many closed infraconnected affinoids [8].

**Remark.** It is known that the intersection of two infraconnected affinoids is always an infraconnected affinoid [8]. But it is known that the intersection of two infraconnected sets may be a non-infraconnected subset of  $\mathbb{K}$ . However, we have the following lemma.

**Lemma 1.1** *Let  $D$  be infraconnected and  $B$  be an infraconnected affinoid. Then  $D \cap B$  is infraconnected.*

**Proof.** We suppose that  $D \cap B$  is not infraconnected. Then, there exist  $a, b \in D \cap B$  and  $r_1, r_2 \in \mathbb{R}$  with  $0 < r_1 < r_2 < |a - b|$  such that  $\Gamma(a, r_1, r_2) \cap B \cap D = \emptyset$ .

Since  $B$  is an infraconnected affinoid, there only exist finitely many

$\rho \in ]0, |a - b|$  such that the circle  $C(a, \rho)$  contains holes of  $B$ . So, clearly there do exist  $\rho_1$  and  $\rho_2$  such that  $r_1 < \rho_1 < \rho_2 < r_2$  and such that  $\Gamma(a, \rho_1, \rho_2) \subset B$ . Since  $D$  is infraconnected, then  $\Gamma(a, \rho_1, \rho_2) \cap D \neq \emptyset$ . This contradicts the hypothesis  $\Gamma(a, r_1, r_2) \cap B \cap D = \emptyset$ .

**Definitions.** A sequence  $(a_n)_{n \in \mathbb{N}}$  in  $\mathbb{K}$  is said to be an *increasing distances sequence* (resp. a *decreasing distances sequence*) if the sequence  $|a_{n+1} - a_n|$  is strictly increasing (resp. decreasing) and has a limit  $l \in \mathbb{R}^*_+$ .

A sequence  $(a_n)_{n \in \mathbb{N}}$  is said to be a *monotonous distances sequence* if it is either an increasing distances sequence or a decreasing distances sequence.

A sequence  $(a_n)_{n \in \mathbb{N}}$  in  $\mathbb{K}$  is said to be an *equal distances sequence* if  $|a_n - a_m| = |a_m - a_q|$  whenever  $n, m, q \in \mathbb{N}$  such that  $n \neq m \neq q$ .

We call a *decreasing filter of diameter  $r$*  on  $\mathbb{K}$  a filter  $\mathcal{G}$  on  $\mathbb{K}$  that admits for basis a sequence  $(D_n)_{n \in \mathbb{N}}$  in  $\mathbb{K}$  of the form  $D_n = d(a_n, r_n) \setminus (\bigcap_{m \in \mathbb{N}} d(a_m, r_m))$  with  $d(a_{n+1}, r_{n+1}) \subset d(a_n, r_n)$ ,  $r_{n+1} < r_n$  and  $\lim_{n \rightarrow \infty} r_n = r$ . We call *center* of  $\mathcal{G}$  each element of  $\bigcap_{m \in \mathbb{N}} d(a_m, r_m)$ . If

$\bigcap_{m \in \mathbb{N}} d(a_m, r_m) = \emptyset$  then  $\mathcal{G}$  is said to be a *decreasing filter with no center*.

According to such a notation the sequence  $(D_n)_{n \in \mathbb{N}}$  is called a *canonical basis* of  $\mathcal{G}$ .

Let  $a \in \mathbb{K}$  and  $r > 0$ . We call *circular filter on  $\mathbb{K}$ , of center  $a$  and diameter  $r$* , the filter  $\mathcal{F}$  on  $\mathbb{K}$  which admits as a generating system the family of the annuli  $\Gamma(\alpha, r', r'')$  with  $\alpha \in d(a, r)$  and  $r' < r < r''$ , i.e:  $\mathcal{F}$  is the filter which admits for basis the family of sets of the form  $\bigcap_{i=1}^q \Gamma(\alpha_i, r'_i, r''_i)$  with  $\alpha_i \in d(a, r)$  and  $r'_i < r < r''_i$  ( $1 \leq i \leq q$ ,  $q \in \mathbb{N}$ ). We

call *circular filter on  $\mathbb{K}$  with no center* any decreasing filter  $\mathcal{G}$  with no center.

The filter of neighbourhoods of a point  $a$  in  $\mathbb{K}$  is called *circular filter of center  $a$  and diameter 0* on  $\mathbb{K}$ . It is also named *Cauchy circular filter* of center  $a$  on  $\mathbb{K}$  and will be denoted by  $\mathcal{F}_a$ .

Finally we will call *circular filter on  $\mathbb{K}$*  all filters of one of those three kind above. A circular filter on  $\mathbb{K}$  will be said to be *large* if it has

diameter different from 0. Given a circular filter  $\mathcal{F}$  on  $\mathbb{K}$ , its diameter will be denoted by  $\text{diam}(\mathcal{F})$ . As usual about filters, a filter  $\mathcal{F}$  will be said to be *secant* with a subset  $D$  of  $\mathbb{K}$  if every element  $A$  of  $\mathcal{F}$  is such that  $A \cap D \neq \emptyset$ . Two filters  $\mathcal{F}$  and  $\mathcal{G}$  are said to be *secant* if for every  $A \in \mathcal{F}$  and  $B \in \mathcal{G}$ , then  $A \cap B \neq \emptyset$ .

Let  $\mathcal{G}$  be a decreasing filter of center  $a$  (resp. with no center) and diameter  $r$ . The circular filter  $\mathcal{F}$  of center  $a$  (resp.  $\mathcal{G}$ ) and diameter  $r$  is known to be the *unique circular filter less thin than*  $\mathcal{G}$  (Proposition 3.13 [7]).

If two circular filters are secant, they are equal [7].

**Remark.** Every circular filter  $\mathcal{F}$  on  $\mathbb{K}$  admits a basis consisting of a family of affinoid sets. Indeed, if  $\mathcal{F}$  is the circular filter on  $\mathbb{K}$  of center  $a$  and diameter  $r$ , then we clearly obtain a basis of the form  $\bigcap_{i=1}^q \Delta(\alpha_i, r'_i, r''_i)$  with  $\alpha_i \in d(a, r)$ ,  $r'_i, r''_i \in |\mathbb{K}|^*$  and  $r'_i < r < r''_i$  ( $1 \leq i \leq q$ ,  $q \in \mathbb{N}$ ).

If  $\mathcal{F}$  is a circular filter with no center, of canonical basis  $(D_n)_{n \in \mathbb{N}}$ , we can find a sequence of disks  $B_n$ , the diameter of which lie in  $|\mathbb{K}|$ , such that  $D_n \subset B_n \subset D_{n-1}$ .

If  $\mathcal{F}$  is the Cauchy circular filter of center  $a$ , we just consider disks  $d(a, r_n)$  with  $r_n \in |\mathbb{K}|$  and  $\lim_{n \rightarrow \infty} r_n = 0$ .

**Notation.** We denote by  $\text{Mult}(\mathbb{K}[X])$  (resp.  $\text{Mult}(\mathbb{K}(X))$ ) the set of multiplicative semi-norms on the  $\mathbb{K}$ -algebra  $\mathbb{K}[X]$  (resp.  $\mathbb{K}(X)$ ).

Given  $D \subset \mathbb{K}$ , we denote by  $\text{Mult}(R(D), \mathcal{U}_D)$  the set of multiplicative semi-norms on the  $\mathbb{K}$ -algebra  $R(D)$  that are continuous with respect to the topology  $\mathcal{U}_D$ . Furthermore, we denote by  $\text{Mult}(H(D), \mathcal{U}_D)$  the set of continuous semi-norms  $\psi$  of the  $\mathbb{K}$ -vector space  $H(D)$  satisfying  $\psi(fg) = \psi(f)\psi(g)$  whenever  $f, g \in H(D)$  such that  $fg \in H(D)$ . We notice that for defining  $\text{Mult}(H(D), \mathcal{U}_D)$  we don't require  $H(D)$  to be a  $\mathbb{K}$ -algebra.

## 2 Distance on circular filters

This chapter is aimed at defining a distance on the set of circular filters on  $\mathbb{K}$ , by the way of a partial order relation on this set.

**Definitions and notation.** Let  $\mathcal{F}$  be a circular filter of center  $a$  and diameter  $r$ . We denote by  $\mathcal{Q}(\mathcal{F})$  the set of the centers of  $\mathcal{F}$ . The set  $\mathcal{Q}(\mathcal{F})$  will be called the *heart* of  $\mathcal{F}$ . Here we have  $\mathcal{Q}(\mathcal{F}) = d(a, r)$ . If  $\mathcal{F}$  is a circular filter without centers, we put  $\mathcal{Q}(\mathcal{F}) = \emptyset$ .

Given two circular filters on  $\mathbb{K}$ ,  $\mathcal{F}$  and  $\mathcal{G}$ , we say that  $\mathcal{G}$  *surrounds*  $\mathcal{F}$  if  $\mathcal{F}$  is secant with  $\mathcal{Q}(\mathcal{G})$  or if  $\mathcal{F} = \mathcal{G}$ . We put  $\mathcal{F} \preceq \mathcal{G}$  when  $\mathcal{G}$  surrounds  $\mathcal{F}$ . We say that  $\mathcal{G}$  *strictly surrounds*  $\mathcal{F}$ , if  $\mathcal{F} \preceq \mathcal{G}$  and  $\mathcal{F} \neq \mathcal{G}$ ; such a filter  $\mathcal{G}$  clearly possesses centers and we note  $\mathcal{F} \prec \mathcal{G}$ .

**Remark.** If  $\mathcal{F} \preceq \mathcal{G}$  and  $\text{diam}(\mathcal{F}) = \text{diam}(\mathcal{G})$  then  $\mathcal{F} = \mathcal{G}$ .

It is clearly seen that " $\preceq$ " is a partial order relation on the set of circular filters on  $\mathbb{K}$ . Given a circular filter  $\mathcal{F}$  on  $\mathbb{K}$ , we will call *wire* of  $\mathcal{F}$  the set  $\mathcal{W}(\mathcal{F})$  of circular filters  $\mathcal{G}$  on  $\mathbb{K}$  such that  $\mathcal{F} \preceq \mathcal{G}$ .

The following lemma is a direct adaptation of Lemma 41.2 of [7].

**Lemma 2.1.** *Let  $\mathcal{F}$  be a circular filter on  $\mathbb{K}$ , of diameter  $r > 0$ . For all  $s \in [r, +\infty[$ , there exists a unique circular filter  $\mathcal{G}$  of diameter  $s$  surrounding  $\mathcal{F}$ . Further, if  $s > r$ , then  $\mathcal{Q}(\mathcal{G}) \neq \emptyset$ .*

**Proof.** If  $s = r$ , we take  $\mathcal{G} = \mathcal{F}$  and the uniqueness is obvious. Now, suppose  $s > r$  and let  $d(a, s)$  be a disk which belongs to  $\mathcal{F}$ . Then, the circular filter  $\mathcal{G}$  of center  $a$  and diameter  $s$  surrounds  $\mathcal{F}$ . Suppose that an other circular filter  $\mathcal{G}'$  of center  $b$  and diameter  $s$  also surrounds  $\mathcal{F}$ . Since  $\mathcal{F}$  is secant with both  $d(a, s)$  and  $d(b, s)$  and since  $r < s$ , we have  $|a - b| \leq s$ , and therefore  $\mathcal{G} = \mathcal{G}'$ .

Lemma 2.2 is obvious.

**Lemma 2.2.** *Let  $\mathcal{F}, \mathcal{G}$  be two circular filters with centers such that  $\mathcal{Q}(\mathcal{F}) \subset \mathcal{Q}(\mathcal{G})$ . Then  $\mathcal{G}$  surrounds  $\mathcal{F}$ .*

**Lemma 2.3.** *Given any circular filter  $\mathcal{F}$  on  $\mathbb{K}$ , then  $\mathcal{W}(\mathcal{F})$  is totally ordered by  $\preceq$ .*

**Proof.** Let  $\mathcal{G}$  and  $\mathcal{H}$  belong to  $\mathcal{W}(\mathcal{F}) \setminus \{\mathcal{F}\}$ . By Lemma 2.1, both  $\mathcal{Q}(\mathcal{G})$  and  $\mathcal{Q}(\mathcal{H})$  are not empty. So  $\mathcal{F}$  is secant with both  $\mathcal{Q}(\mathcal{G})$  and  $\mathcal{Q}(\mathcal{H})$ . Let  $d(a, r) \in \mathcal{F}$  such that  $d(a, r) \subset \mathcal{Q}(\mathcal{G})$ . Then, as  $d(a, r) \cap \mathcal{Q}(\mathcal{H}) \neq \emptyset$ , we have  $\mathcal{Q}(\mathcal{H}) \cap \mathcal{Q}(\mathcal{G}) \neq \emptyset$ . Hence  $\mathcal{Q}(\mathcal{H})$  and  $\mathcal{Q}(\mathcal{G})$  are comparable for the relation  $\subset$  and therefore  $\mathcal{H}$  and  $\mathcal{G}$  are comparable for  $\preceq$ .

**Definition.** *A family of circular filters on  $\mathbb{K}$  will be said to be on the same wire if their set is all ordered for  $\preceq$ .*

**Remark and definitions.** Given a circular filter  $\mathcal{F}$  on  $\mathbb{K}$ , we may define a distance  $\delta'$  on  $\mathcal{W}(\mathcal{F})$  in this way: given  $\mathcal{G}, \mathcal{H} \in \mathcal{W}$ , we put  $\delta'(\mathcal{G}, \mathcal{H}) = |\text{diam}(\mathcal{G}) - \text{diam}(\mathcal{H})|_\infty$ .

The elements of  $\mathcal{W}(\mathcal{F})$  are just characterized by their diameters and then  $\mathcal{W}(\mathcal{F})$ , topologized with  $\delta'$ , is clearly isometrically homeomorphic to the real interval  $[\text{diam}(\mathcal{F}), +\infty[$ . Moreover this homeomorphism does respect the order. Given  $\mathcal{G}, \mathcal{H} \in \mathcal{W}(\mathcal{F})$  with  $\mathcal{G} \preceq \mathcal{H}$ , we will denote by  $[\mathcal{G}, \mathcal{H}]$  the set of the circular filters  $\mathcal{X}$  such that  $\mathcal{G} \preceq \mathcal{X} \preceq \mathcal{H}$ . Then  $[\mathcal{G}, \mathcal{H}]$  is isometrically homeomorphic to the real interval  $[\text{diam}(\mathcal{G}), \text{diam}(\mathcal{H})]$ .

We shall now generalize this distance to the set of circular filters.

**Lemma 2.4.** *Let  $\mathcal{F}$  and  $\mathcal{G}$  be non comparable circular filters on  $\mathbb{K}$ . There exist disks  $d(a, \rho) \in \mathcal{F}$ ,  $d(b, \sigma) \in \mathcal{G}$  such that  $d(a, \rho) \cap d(b, \sigma) = \emptyset$ .*

**Proof.** Suppose one can't find  $d(a, \rho) \in \mathcal{F}$ ,  $d(b, \sigma) \in \mathcal{G}$  such that  $d(a, \rho) \cap d(b, \sigma) = \emptyset$ . Then the family  $S$  of circumferenced disks which belong to  $\mathcal{F}$  and  $\mathcal{G}$  is totally ordered. Let  $\Lambda = \bigcap_{A \in S} A$  and let  $\mathcal{H}$  be the decreasing filter admitting for basis the family  $\{A \setminus \Lambda; A \in S\}$ .

If  $\text{diam}(\mathcal{F}) = \text{diam}(\mathcal{G})$ , we see that  $\mathcal{F} = \mathcal{G}$ .

Now let  $r = \text{diam}(\mathcal{F})$ , let  $s = \text{diam}(\mathcal{G})$ , and suppose  $r < s$ . Then  $\mathcal{F}$  contains a disk  $d(\alpha, \lambda)$  with  $r < \lambda < s$ . Such a disk is included in all disks  $d(\beta, \mu) \in \mathcal{G}$ , because  $\mu > s$ . Hence  $\mathcal{F}$  is secant with  $\mathcal{Q}(\mathcal{G})$  and therefore  $\mathcal{G}$  surrounds  $\mathcal{F}$ , a contradiction to the hypothesis.

**Theorem 2.1.** *Let  $\mathcal{F}, \mathcal{G}$  be circular filters on  $\mathbb{K}$ . Let  $(D_i)_{i \in I}$  be the family of circumferenced disks that belong to both  $\mathcal{F}$  and  $\mathcal{G}$ , and let  $\Lambda = \bigcap_{i \in I} D_i$ . Let  $\mathcal{H}$  be the decreasing filter admitting for basis the family  $\{D_i \setminus \Lambda; i \in I\}$  and let  $\mathcal{M}$  be the circular filter less thin than  $\mathcal{H}$ . Then  $\mathcal{M} = \text{sup}(\mathcal{F}, \mathcal{G})$  and  $\mathcal{W}(\mathcal{M}) = \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$ .*

**Proof.** As the claims are immediate if  $\mathcal{F} \preceq \mathcal{G}$ , we may suppose that  $\mathcal{F}$  and  $\mathcal{G}$  are not comparable. By Lemma 2.4 there exist  $d(a, \rho) \in \mathcal{F}$ ,  $d(b, \sigma) \in \mathcal{G}$  such that  $d(a, \rho) \cap d(b, \sigma) = \emptyset$ . Let  $t = |a - b|$ . Both  $\mathcal{F}, \mathcal{G}$  are secant with  $d(a, t)$ . Therefore, the circular filter  $\mathcal{N}$  of center  $a$  and diameter  $t$  surrounds  $\mathcal{F}$  and  $\mathcal{G}$ . We will show that  $\mathcal{N} = \text{inf}(\mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G}))$ . Indeed, let  $\mathcal{E} \in \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$  and let  $u = \text{diam}(\mathcal{E})$ . Let  $l = \max(\rho, \sigma, u)$  and suppose  $u < t$ . Then we have  $l < t$  and  $d(a, l) \cap d(b, l) = \emptyset$ . Let  $\mathcal{L}$  be the circular filter of diameter  $l$ , surrounding  $\mathcal{F}$ . Then  $\mathcal{L}$  and  $\mathcal{E}$  lie in the wire of  $\mathcal{F}$ . But since  $\text{diam}(\mathcal{L}) \geq \text{diam}(\mathcal{E})$ , then  $\mathcal{L}$  surrounds  $\mathcal{E}$ . As a consequence  $\mathcal{L} \in \mathcal{W}(\mathcal{G})$ . So,  $\mathcal{F}$  is secant with  $d(a, l)$

and  $\mathcal{G}$  is secant with  $d(b, l)$ . Hence  $a$  and  $b$  lie in  $\mathcal{Q}(\mathcal{L})$ , and therefore  $|a - b| \leq l$ , which contradicts  $l < t$ . Thus  $u \geq t$ . As a consequence,  $\mathcal{N}$  and  $\mathcal{E}$  are two elements of  $\mathcal{W}(\mathcal{F})$  such that  $\text{diam}(\mathcal{N}) \leq \text{diam}(\mathcal{E})$ . Hence  $\mathcal{N} \preceq \mathcal{E}$ . This proves  $\mathcal{N} = \inf(\mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G}))$ . Consequently we have  $\mathcal{N} = \sup(\mathcal{F}, \mathcal{G})$  and therefore  $\mathcal{W}(\mathcal{N}) = \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$ .

Finally, as  $d(a, \rho) \in \mathcal{F}$ ,  $d(b, \sigma) \in \mathcal{G}$  and  $d(a, \rho) \cap d(b, \sigma) = \emptyset$  we check that  $\Lambda = d(a, t)$ . Then, clearly  $\mathcal{N}$  is equal to  $\mathcal{M}$ .

**Notation.** For any two circular filters  $\mathcal{F}$  and  $\mathcal{G}$  on  $\mathbb{K}$ , we will denote by  $\mathcal{M}_{\mathcal{F}, \mathcal{G}}$  the circular filter  $\sup(\mathcal{F}, \mathcal{G})$  whose existence has been shown in the previous theorem, and by  $r_{\mathcal{F}, \mathcal{G}}$  its diameter.

**Remark 1.** If  $\mathcal{F} \neq \mathcal{G}$  then  $\mathcal{M}_{\mathcal{F}, \mathcal{G}}$  owns centers.

**Remark 2.** Let  $\mathcal{F}$  and  $\mathcal{G}$  be two circular filters on  $\mathbb{K}$  such that  $\mathcal{F} \preceq \mathcal{G}$ . Then  $\mathcal{M}_{\mathcal{F}, \mathcal{G}} = \mathcal{G}$ .

**Lemma 2.5.** Let  $\mathcal{F}$  and  $\mathcal{G}$  be two circular filters on  $\mathbb{K}$ , let  $\mathcal{H} \in \mathcal{W}(\mathcal{F}) \setminus \mathcal{W}(\mathcal{G})$  and  $\mathcal{I} \in \mathcal{W}(\mathcal{G}) \setminus \mathcal{W}(\mathcal{F})$ . Then we have  $\mathcal{M}_{\mathcal{F}, \mathcal{G}} = \mathcal{M}_{\mathcal{H}, \mathcal{I}}$ .

**Proof.** We have  $\mathcal{M}_{\mathcal{F}, \mathcal{G}} \in \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$ . Since  $\mathcal{H} \in \mathcal{W}(\mathcal{F}) \setminus \mathcal{W}(\mathcal{G})$  and  $\mathcal{I} \in \mathcal{W}(\mathcal{G}) \setminus \mathcal{W}(\mathcal{F})$ , then  $\mathcal{M}_{\mathcal{F}, \mathcal{G}} \in \mathcal{W}(\mathcal{H}) \cap \mathcal{W}(\mathcal{I})$ . Suppose that there exists  $\mathcal{M}' \in \mathcal{W}(\mathcal{H}) \cap \mathcal{W}(\mathcal{I})$  such that  $\mathcal{M}' \preceq \mathcal{M}_{\mathcal{F}, \mathcal{G}}$ . As  $\mathcal{M}' \in \mathcal{W}(\mathcal{H})$ , then  $\mathcal{M}' \in \mathcal{W}(\mathcal{F})$  and as  $\mathcal{M}' \in \mathcal{W}(\mathcal{I})$ , then  $\mathcal{M}' \in \mathcal{W}(\mathcal{G})$ . Hence  $\mathcal{M}' \in \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$ , and then we have  $\mathcal{M}' = \mathcal{M}_{\mathcal{F}, \mathcal{G}}$ . So  $\mathcal{M}_{\mathcal{F}, \mathcal{G}}$  is the lower bound of  $\mathcal{W}(\mathcal{H}) \cap \mathcal{W}(\mathcal{I})$ , hence  $\mathcal{M}_{\mathcal{F}, \mathcal{G}} = \mathcal{M}_{\mathcal{H}, \mathcal{I}}$ .

**Definition and notation.** We are now able to extend  $\delta'$  to a distance  $\delta$  defined on all circular filters on  $\mathbb{K}$ . Let  $\mathcal{F}, \mathcal{G}$  be two circular filters on  $\mathbb{K}$ . We put  $\delta(\mathcal{F}, \mathcal{G}) = \delta'(\mathcal{F}, \mathcal{M}_{\mathcal{F}, \mathcal{G}}) + \delta'(\mathcal{G}, \mathcal{M}_{\mathcal{F}, \mathcal{G}}) = 2r_{\mathcal{F}, \mathcal{G}} - \text{diam}(\mathcal{F}) - \text{diam}(\mathcal{G})$ .

**Theorem 2.2.** The mapping  $\delta$  is a distance on the set of circular filters on  $\mathbb{K}$ , satisfying further  $\delta(\mathcal{F}, \mathcal{G}) = \delta'(\mathcal{F}, \mathcal{G})$  when  $\mathcal{F}$  and  $\mathcal{G}$  are comparable for  $\preceq$ .

**Proof.** We first notice that if  $\mathcal{F} \preceq \mathcal{G}$ , then  $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F}, \mathcal{G}} - \text{diam}(\mathcal{F}) - \text{diam}(\mathcal{G})$ . But since  $\delta'(\mathcal{F}, \mathcal{G}) = \text{diam}(\mathcal{G}) - \text{diam}(\mathcal{F})$  and  $r_{\mathcal{F}, \mathcal{G}} = \text{diam}(\mathcal{G})$ , we obviously have  $\delta(\mathcal{F}, \mathcal{G}) = \delta'(\mathcal{F}, \mathcal{G})$ .

It is clearly seen that  $\delta(\mathcal{F}, \mathcal{G}) = 0$  if and only if  $\mathcal{F} = \mathcal{G}$  and that  $\delta(\mathcal{F}, \mathcal{G}) = \delta(\mathcal{G}, \mathcal{F})$  for all circular filters  $\mathcal{F}$  and  $\mathcal{G}$ .

We now have to check the triangle inequality. Let  $\mathcal{F}, \mathcal{G}, \mathcal{H}$  be circular filters on  $\mathbb{K}$  whose diameters are respectively  $\lambda, \mu$  and  $\nu$ . It is clearly seen

that, if  $\mathcal{F}$  and  $\mathcal{G}$  are on the same wire, then the inequality is satisfied. Suppose that  $\mathcal{F}$  and  $\mathcal{G}$  are not on the same wire.

If  $\mathcal{H} \in \mathcal{W}(\mathcal{F}) \cap \mathcal{W}(\mathcal{G})$  then  $\mathcal{M}_{\mathcal{F},\mathcal{G}} \preceq \mathcal{H}$ , hence  $r_{\mathcal{F},\mathcal{G}} \leq \nu$ . So we have  $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F},\mathcal{G}} - \lambda - \mu \leq (\nu - \lambda) + (\nu - \mu) = \delta(\mathcal{F}, \mathcal{H}) + \delta(\mathcal{H}, \mathcal{G})$ .

If  $\mathcal{H} \in \mathcal{W}(\mathcal{F}) \setminus \mathcal{W}(\mathcal{G})$  then by Lemma 2.5, we have  $\mathcal{M}_{\mathcal{F},\mathcal{G}} = \mathcal{M}_{\mathcal{H},\mathcal{G}}$  and then  $r_{\mathcal{F},\mathcal{G}} = r_{\mathcal{H},\mathcal{G}}$ . Hence  $\delta(\mathcal{F}, \mathcal{H}) = \nu - \lambda$  and  $\delta(\mathcal{G}, \mathcal{H}) = 2r_{\mathcal{F},\mathcal{G}} - \nu - \mu$ . So we have  $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F},\mathcal{G}} - \lambda - \mu = \delta(\mathcal{F}, \mathcal{H}) + \delta(\mathcal{G}, \mathcal{H})$ .

If  $\mathcal{H} \preceq \mathcal{F}$ , then  $\nu \leq \lambda$ , so  $-\lambda \leq -2\nu + \lambda$ . Moreover, by Lemma 2.5 we have  $\mathcal{M}_{\mathcal{F},\mathcal{G}} = \mathcal{M}_{\mathcal{H},\mathcal{G}}$ . So  $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F},\mathcal{G}} - \lambda - \mu \leq (\lambda - \nu) + 2r_{\mathcal{F},\mathcal{G}} - \nu - \mu = \delta(\mathcal{F}, \mathcal{H}) + \delta(\mathcal{G}, \mathcal{H})$ .

Finally, suppose  $\mathcal{H} \notin \mathcal{W}(\mathcal{F}) \cup \mathcal{W}(\mathcal{G})$ . Of course  $\mathcal{M}_{\mathcal{F},\mathcal{G}}$  and  $\mathcal{M}_{\mathcal{F},\mathcal{H}}$  are on the wire of  $\mathcal{F}$ . Put  $\mathcal{E} = \mathcal{M}_{\mathcal{F},\mathcal{H}}$ . First suppose  $\mathcal{M}_{\mathcal{F},\mathcal{H}} \prec \mathcal{M}_{\mathcal{F},\mathcal{G}}$ , then we have  $\mathcal{M}_{\mathcal{F},\mathcal{H}} \in \mathcal{W}(\mathcal{F}) \setminus \mathcal{W}(\mathcal{G})$ , then by Lemma 2.5  $\mathcal{M}_{\mathcal{E},\mathcal{G}} = \mathcal{M}_{\mathcal{F},\mathcal{G}}$ . In the same way, as  $\mathcal{M}_{\mathcal{F},\mathcal{H}} \in \mathcal{W}(\mathcal{H}) \setminus \mathcal{W}(\mathcal{G})$ , we have  $\mathcal{M}_{\mathcal{E},\mathcal{G}} = \mathcal{M}_{\mathcal{H},\mathcal{G}}$ , and then  $\mathcal{M}_{\mathcal{F},\mathcal{G}} = \mathcal{M}_{\mathcal{H},\mathcal{G}}$ . So, we have  $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F},\mathcal{G}} - \lambda - \mu = 2r_{\mathcal{H},\mathcal{G}} - \lambda - \mu \leq 2r_{\mathcal{H},\mathcal{G}} - \lambda - \mu + 2r_{\mathcal{F},\mathcal{H}} - 2\nu = \delta(\mathcal{F}, \mathcal{H}) + \delta(\mathcal{G}, \mathcal{H})$  (as  $\mathcal{H} \preceq \mathcal{M}_{\mathcal{F},\mathcal{H}}$  we have  $2r_{\mathcal{F},\mathcal{H}} - 2\nu \geq 0$ ). Finally, if  $\mathcal{M}_{\mathcal{F},\mathcal{G}} \preceq \mathcal{M}_{\mathcal{F},\mathcal{H}}$ , we have  $\delta(\mathcal{F}, \mathcal{G}) = 2r_{\mathcal{F},\mathcal{G}} - \lambda - \mu \leq 2r_{\mathcal{F},\mathcal{H}} - \lambda - \mu \leq 2r_{\mathcal{F},\mathcal{H}} - \lambda - \mu + 2r_{\mathcal{G},\mathcal{H}} - 2\nu = \delta(\mathcal{F}, \mathcal{H}) + \delta(\mathcal{G}, \mathcal{H})$  (as  $\mathcal{H} \preceq \mathcal{M}_{\mathcal{G},\mathcal{H}}$  we have  $2r_{\mathcal{G},\mathcal{H}} - 2\nu \geq 0$ ). This ends the proof.

**Remark.** Cauchy circular filters on  $\mathbb{K}$  are canonically identified with the points of  $\mathbb{K}$ . For  $a, b \in \mathbb{K}$ , let  $\mathcal{F}$  and  $\mathcal{G}$  be the Cauchy circular filters whose centers are respectively  $a$  and  $b$ . We have  $\delta(\mathcal{F}, \mathcal{G}) = 2|a - b|$ . Thus the usual distance on  $\mathbb{K}$ , defined by the absolute value and the restriction of  $\delta$  to  $\mathbb{K}$ , are equivalent on  $\mathbb{K}$ .

### 3 Topologies on $\text{Mult}(\mathbb{K}[X])$

**Notation and definitions.** We will denote by  $\Phi$  the mapping from the set of circular filters on  $\mathbb{K}$  onto  $\text{Mult}(\mathbb{K}[X])$ , defined as  $\Phi(\mathcal{F}) = \varphi_{\mathcal{F}}$  where  $\varphi_{\mathcal{F}}$  is the multiplicative semi-norm on  $\mathbb{K}[X]$  defined by  $\varphi_{\mathcal{F}}(h) = \lim_{\mathcal{F}} |h(x)|$ ,  $\forall h \in \mathbb{K}[X]$ . We know that  $\Phi$  is a bijection, [9] and [10].

This bijection allows us to consider an order relation and a distance on  $\text{Mult}(\mathbb{K}[X])$ , also respectively denoted by  $\preceq$  and  $\delta$ , and defined in a natural way by  $\varphi_{\mathcal{F}} \preceq \varphi_{\mathcal{G}}$  if and only if  $\mathcal{F} \preceq \mathcal{G}$  and by  $\delta(\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}) = \delta(\mathcal{F}, \mathcal{G})$ . So, we may consider  $\text{Mult}(\mathbb{K}[X])$  as a metric space.



We will denote by  $\mathcal{S}$  the topology of simple convergence on  $\text{Mult}(\mathbb{K}[X])$  and by  $\mathfrak{T}_\delta$  the metric topology defined by  $\delta$ .

Given  $\psi \in \text{Mult}(\mathbb{K}[X])$ ,  $h \in \mathbb{K}[X]$ ,  $\varepsilon > 0$ , we denote by  $V(\psi, h, \varepsilon)$  the set of the  $\varphi \in \text{Mult}(\mathbb{K}[X])$  such that  $|\varphi(h) - \psi(h)|_\infty < \varepsilon$ .

**Remark.** We obtain a basis of neighbourhoods for the topology  $\mathcal{S}$  of any  $\psi \in \text{Mult}(\mathbb{K}[X])$  by taking the sets of the form  $\bigcap_{j=1}^q V(\psi, h_j, \varepsilon_j)$ ,  $q \in \mathbb{N}^*$ .

**Proposition 3.1.** *On  $\text{Mult}(\mathbb{K}[X])$ , the topology  $\mathfrak{T}_\delta$  is strictly thinner than the topology  $\mathcal{S}$ .*

**Proof.** For  $h \in \mathbb{K}[X]$ , let  $\xi_h$  be the mapping from  $\text{Mult}(\mathbb{K}[X])$  onto  $\mathbb{R}$  such that  $\xi_h(\varphi_{\mathcal{F}}) = \varphi_{\mathcal{F}}(h) = \lim_{\mathcal{F}} |h(x)|$ . It is known that  $\mathcal{S}$  is the least thin topology on  $\text{Mult}(\mathbb{K}[X])$  such that  $\xi_h$  is continuous for all  $h \in \mathbb{K}[X]$ . So, by proving that  $\xi_h$  is continuous for  $\mathfrak{T}_\delta$ , we will show that  $\mathfrak{T}_\delta$  is thinner than  $\mathcal{S}$ .

We denote by  $B(\varphi_{\mathcal{F}}, \beta)$  the open ball in  $\text{Mult}(\mathbb{K}[X])$  of center  $\varphi_{\mathcal{F}}$  and radius  $\beta$  with respect to the distance  $\delta$ . Given  $\varepsilon > 0$ , by definition of  $\varphi_{\mathcal{F}}(h)$ , there exists an element  $A \subset \mathbb{K}$  of the canonical basis of  $\mathcal{F}$  such that

$$(1) \quad |\varphi_{\mathcal{F}}(h) - |h(x)||_\infty < \varepsilon, \quad \forall x \in A.$$

If  $\mathcal{F}$  is large and admits a center (resp.  $\mathcal{F}$  has no center or  $\mathcal{F}$  is a Cauchy circular filter),  $A$  is of the form  $\bigcap_{i \in I} \Gamma(a_i, r_i, r)$  (resp.  $d(a, r)$ ) with  $r > \text{diam}(\mathcal{F})$  and  $|a_i - a_j| = \text{diam}(\mathcal{F})$  if  $i \neq j$  (resp.  $r > \text{diam}(\mathcal{F})$ ).

Let  $\lambda = \sup_{i \in I} (r_i)$ ,  $\alpha = \inf(r - \text{diam}(\mathcal{F}), \text{diam}(\mathcal{F}) - \lambda)$  (resp.  $\alpha = r - \text{diam}(\mathcal{F})$ ). For all  $\psi \in B(\varphi_{\mathcal{F}}, \alpha)$ , the circular filter on  $\mathbb{K}$  associated to  $\psi$  is secant with  $A$ . Hence by (1), we have  $|\psi(h) - \varphi_{\mathcal{F}}(h)|_\infty < \varepsilon$ . As  $|\xi_h(\psi) - \xi_h(\varphi_{\mathcal{F}})|_\infty = |\psi(h) - \varphi_{\mathcal{F}}(h)|_\infty$ , for all  $\psi \in B(\varphi_{\mathcal{F}}, \alpha)$ , we have  $|\xi_h(\psi) - \xi_h(\varphi_{\mathcal{F}})|_\infty < \varepsilon$ . Hence  $\xi_h$  is continuous for  $\mathfrak{T}_\delta$  and so,  $\mathfrak{T}_\delta$  is thinner than  $\mathcal{S}$ . Now, it rests to show that  $\mathcal{S}$  is not thinner than  $\mathfrak{T}_\delta$ .

For this, let  $\mathcal{F}$  be a large circular filter on  $\mathbb{K}$  of center  $a \in \mathbb{K}$  and let  $\beta \in ]0, \text{diam}(\mathcal{F})[$ . Now, the filter of neighbourhoods of  $\varphi_{\mathcal{F}}$ , with respect to  $\mathcal{S}$ , admits a basis of the form  $\bigcap_{j=1}^q V(\varphi_{\mathcal{F}}, h_j, \varepsilon_j)$  with  $q \in \mathbb{N}^*$ ,  $h_j \in \mathbb{K}[X]$ . In particular, we consider such a neighbourhood  $W = \bigcap_{j=1}^q V(\varphi_{\mathcal{F}}, h_j, \varepsilon_j)$ . We put  $\varepsilon = \inf_{j=1, \dots, q} (\varepsilon_j)$ . For any  $j \in \{1, \dots, q\}$ , there

exists an element  $A_j$  of  $\mathcal{F}$  such that  $|\varphi_{\mathcal{F}}(h_j) - |h_j(x)||_{\infty} < \varepsilon$ ,  $\forall x \in A_j$ . We put  $A = \bigcap_{j=1}^q A_j$  and then, we have  $\forall j \in \{1, \dots, q\}$ ,  $\forall x \in A$ ,  $|\varphi_{\mathcal{F}}(h_j) - |h_j(x)||_{\infty} < \varepsilon$ . Of course  $A$  is not empty because  $\mathcal{G}$  is a filter. Let  $\mathcal{G}$  be a circular filter on  $\mathbb{K}$  of center  $b \in d(a, \text{diam}(\mathcal{F})) \cap A$  and of diameter  $\gamma \in ]0, \text{diam}(\mathcal{F}) - \beta[$  (which is obviously secant with  $A$ ). Such a circular filter exists because  $A$  is open. We have  $|\varphi_{\mathcal{F}}(h_j) - \varphi_{\mathcal{G}}(h_j)|_{\infty} < \varepsilon$ ,  $\forall j \in \{1, \dots, q\}$ . Then  $\varphi_{\mathcal{G}} \in W$ . But we clearly have  $\delta(\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}) = \text{diam}(\mathcal{F}) - \gamma > \beta$ . Hence  $\varphi_{\mathcal{G}} \notin B(\varphi_{\mathcal{F}}, \beta)$ . And then,  $B(\varphi_{\mathcal{F}}, \beta)$  may not be a neighbourhood of  $\varphi_{\mathcal{F}}$  with respect to the topology  $\mathcal{S}$ . In particular,  $B(\varphi_{\mathcal{F}}, \beta)$  does not contain images by  $\Phi$  of Cauchy filters on  $\mathbb{K}$  i.e. it only contains absolute values on  $\mathbb{K}[X]$ , [9]. This ends the proof.

**Definitions.** Given  $\mathcal{F}$  and  $\mathcal{G}$  two circular filters on  $\mathbb{K}$  such that  $\mathcal{F} \preceq \mathcal{G}$ , we call *segment*  $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}]$  of  $\text{Mult}(\mathbb{K}[X])$  the image by  $\Phi$  of the interval  $[\mathcal{F}, \mathcal{G}]$ , i.e.  $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}] = \{\varphi_{\mathcal{H}} \in \text{Mult}(\mathbb{K}[X]) \mid \varphi_{\mathcal{F}} \preceq \varphi_{\mathcal{H}} \preceq \varphi_{\mathcal{G}}\}$ .

A continuous function  $\gamma$  from an interval  $[a, b]$  of  $\mathbb{R}$  into a topological space  $E$  is called a *path* of  $E$ . A subset  $S$  of a Hausdorff topological space  $E$  is said to be arc-connected if for every  $A, B \in S$ , there exists a path  $\gamma$  from  $[0, 1]$  into  $S$  such that  $\gamma(0) = A$  and  $\gamma(1) = B$ .

**Proposition 3.2.** *Every segment of  $\text{Mult}(\mathbb{K}[X])$  is an arc-connected set with respect to the topology  $\mathfrak{T}_{\delta}$ .*

**Proof.** Given  $\mathcal{F}$  and  $\mathcal{G}$  two circular filters on  $\mathbb{K}$  such that  $\mathcal{F} \preceq \mathcal{G}$ , we respectively denote by  $\lambda$  and  $\mu$  their diameters and we consider the segment  $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}]$  of  $\text{Mult}(\mathbb{K}[X])$ .

For every  $t \in [\lambda, \mu]$ , we denote by  $\mathcal{F}_t$  the circular filter in  $\mathcal{W}(\mathcal{F})$  of diameter  $t$ , so  $\mathcal{F}_t \in [\mathcal{F}, \mathcal{G}]$ . Let  $f$  be the path on  $\text{Mult}(\mathbb{K}[X])$  defined from  $[\lambda, \mu]$  into  $\text{Mult}(\mathbb{K}[X])$  by  $f(t) = \varphi_{\mathcal{F}_t}$ . Given  $\varepsilon > 0$  and  $t_0 \in [\lambda, \mu]$ , for all  $t \in [\lambda, \mu]$  such that  $|t - t_0|_{\infty} < \varepsilon$ , we have  $\delta(\varphi_{\mathcal{F}_{t_0}}, \varphi_{\mathcal{F}_t}) < \varepsilon$ . Hence, the path  $f$  is continuous with respect to the topology  $\mathfrak{T}_{\delta}$  on  $\text{Mult}(\mathbb{K}[X])$  and this ends the proof.

**Theorem 3.1.**  *$\text{Mult}(\mathbb{K}[X])$  is an arc-connected space with respect to the topology  $\mathfrak{T}_{\delta}$ .*

**Proof.** Let  $\varphi_{\mathcal{F}}$  and  $\varphi_{\mathcal{G}}$  be two elements of  $\text{Mult}(\mathbb{K}[X])$  associated to the circular filters  $\mathcal{F}$  and  $\mathcal{G}$ . By Proposition 3.2, both segments  $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{M}_{\mathcal{F}, \mathcal{G}}}]$  and  $[\varphi_{\mathcal{G}}, \varphi_{\mathcal{M}_{\mathcal{F}, \mathcal{G}}}]$  are arc-connected. Hence there exists a path  $f$  from  $[0, 1]$  into  $\text{Mult}(\mathbb{K}[X])$  such that  $f(0) = \mathcal{F}$ ,  $f(1) = \mathcal{G}$  and  $f(\frac{1}{2}) = \mathcal{M}_{\mathcal{F}, \mathcal{G}}$ .

**Corollary 3.1.**  $\text{Mult}(\mathbb{K}[X])$  is an arc-connected space with respect to the topology  $\mathcal{S}$ .

**Definitions and Notation.** We denote by  $\Phi^*$  the restriction of  $\Phi$  to the set of large circular filters on  $\mathbb{K}$ . Then, given a large circular filter  $\mathcal{F}$  on  $\mathbb{K}$ , we may extend  $\Phi^*(\mathcal{F}) = \varphi_{\mathcal{F}}$  to  $\mathbb{K}(X)$ . The mapping  $\Phi^*$  is a bijection from the set of large circular filters on  $\mathbb{K}$  onto  $\text{Mult}(\mathbb{K}(X))$ , [9]. This bijection allows us to define the distance  $\delta$  on  $\text{Mult}(\mathbb{K}(X))$  by putting again  $\delta(\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}) = \delta(\mathcal{F}, \mathcal{G})$ , for all large circular filters  $\mathcal{F}$  and  $\mathcal{G}$  on  $\mathbb{K}$ . We also denote by  $\mathcal{S}$  the topology of simple convergence on  $\text{Mult}(\mathbb{K}(X))$  and by  $\mathfrak{T}_{\delta}$  the metric one associated to the distance  $\delta$ .

The same proof of the one of Proposition 3.1 holds on  $\text{Mult}(\mathbb{K}(X))$ , then we have the following proposition.

**Proposition 3.3.** On  $\text{Mult}(\mathbb{K}(X))$ ,  $\mathfrak{T}_{\delta}$  is strictly thinner than  $\mathcal{S}$ .

**Theorem 3.2.**  $\text{Mult}(\mathbb{K}(X))$  is an arc-connected space with respect to both topologies.

**Proof.** Let  $\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}} \in \text{Mult}(\mathbb{K}(X))$ . Then  $\mathcal{F}, \mathcal{G}$  are large circular filter on  $\mathbb{K}$  and so is each element of  $[\mathcal{F}, \mathcal{M}_{\mathcal{F}, \mathcal{G}}]$  (resp.  $[\mathcal{G}, \mathcal{M}_{\mathcal{F}, \mathcal{G}}]$ ). Put  $\mathcal{E} = \mathcal{M}_{\mathcal{F}, \mathcal{G}}$ . Therefore  $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{E}}]$  (resp.  $[\varphi_{\mathcal{G}}, \varphi_{\mathcal{E}}]$ ) is included in  $\text{Mult}(\mathbb{K}(X))$ , so the conclusion comes from Theorem 3.1 and Corollary 3.1.

## 4 Topologies on $\text{Mult}(H(D), \mathcal{U}_D)$ .

**Remark.** If two circular filters  $\mathcal{F}, \mathcal{G}$  on  $\mathbb{K}$  are secant with a set  $D$  and satisfy  ${}_D\mathcal{F} = {}_D\mathcal{G}$  then  $\mathcal{F} = \mathcal{G}$  because  $\mathcal{F}$  and  $\mathcal{G}$  are secant.

**Definitions and notation.** Let  $D \subset \mathbb{K}$  and let  $\mathcal{F}$  be a large circular filter on  $\mathbb{K}$  secant with  $D$ . We denote by  ${}_D\mathcal{F}$  the filter  $\mathcal{F} \cap D$  which is called *circular filter on  $D$* . The filter of neighbourhoods, in  $D$ , of a point  $a \in D$  is also called *circular filter on  $D$* . This filter is the filter  $\mathcal{F}_a \cap D$  that we also call *Cauchy circular filter on  $D$* , [7] and [9]. The set of circular filters on  $D$  will be denoted by  $\Theta(D)$ .

**Remark.** Let  $a \in \overline{D} \setminus D$ . The Cauchy filter  $\mathcal{F}_a$  is secant with  $D$  but it is not a circular filter on  $D$ . If  $D$  is closed, then each circular filter on  $\mathbb{K}$  secant with  $D$ , large or not, induces on  $D$  a circular filter on  $D$ , [7] and [9].

By properties of the intersection, we may obviously define on  $\Theta(D)$  a partial order relation, also denoted by  $\preceq$  i.e.:  ${}_D\mathcal{F} \preceq {}_D\mathcal{G}$  if  $\mathcal{F} \preceq \mathcal{G}$ . In the same way, we may also define a distance on  $\Theta(D)$ , denoted by  $\delta$  again, as  $\delta({}_D\mathcal{F}, {}_D\mathcal{G}) = \delta(\mathcal{F}, \mathcal{G})$ .

**Lemma 4.1.** *Let  $D$  be an infraconnected subset of  $\mathbb{K}$  and let  $\mathcal{F}$  and  $\mathcal{G}$  be two circular filters on  $\mathbb{K}$  secant with  $D$  such that  $\mathcal{F} \preceq \mathcal{G}$ . Then for all  $\mathcal{H} \in [\mathcal{F}, \mathcal{G}]$ ,  $\mathcal{H}$  is secant with  $D$ .*

**Proof.** Let  $\mathcal{H} \in [\mathcal{F}, \mathcal{G}]$  and  $\lambda = \text{diam}(\mathcal{H})$ . Since  $\lambda \in [\text{diam}(\mathcal{F}), \text{diam}(\mathcal{G})]$ , by Lemma 4.1.2 of [7] there exists a unique circular filter  ${}_D\mathcal{X}$  on  $D$  of diameter  $\lambda$  satisfying  ${}_D\mathcal{F} \preceq {}_D\mathcal{X}$ . But by Lemma 2.1,  $\mathcal{H}$  is the unique circular filter of diameter  $\lambda$  surrounding  $\mathcal{F}$ . So, we have  $\mathcal{H} = \mathcal{X}$ , hence  $\mathcal{H}$  is secant with  $D$ .

**Lemma 4.2.** *Let  $D$  be an infraconnected subset of  $\mathbb{K}$  and let  $\mathcal{F}$  and  $\mathcal{G}$  be two circular filters on  $\mathbb{K}$  secant with  $D$ . Then  $\mathcal{M}_{\mathcal{F}, \mathcal{G}}$  is secant with  $D$ .*

**Proof.** If  $\mathcal{F} \preceq \mathcal{G}$  or  $\mathcal{G} \preceq \mathcal{F}$ , Lemma 4.2 is obvious by Remark 2 of section 2. Else, by Lemma 2.4 there exist disks  $d(a, r) \in \mathcal{F}$  and  $d(b, s) \in \mathcal{G}$  such that  $d(a, r) \cap d(b, s) = \emptyset$ . Since  $\mathcal{F}$  and  $\mathcal{G}$  are secant with  $D$ , without loss of generality we may suppose  $a, b \in D$ . Let  $\mathcal{H}$  be the circular filter of center  $a$  and diameter  $|a - b|$ . Since  $D$  is infraconnected, by Proposition 3.14 [7],  $\mathcal{H}$  is secant with  $D$  and then we have  $\mathcal{F} \preceq \mathcal{H}$  and  $\mathcal{G} \preceq \mathcal{H}$ , so  $\mathcal{M}_{\mathcal{F}, \mathcal{G}} \preceq \mathcal{H}$ . Hence, by Lemma 4.1,  $\mathcal{M}_{\mathcal{F}, \mathcal{G}}$  is secant with  $D$ .

**Definitions and notation.** Let  $D \subset \mathbb{K}$ . Circular filters on  $D$  are known to characterize the elements of  $\text{Mult}(H(D), \mathcal{U}_D)$  in the following way. To each circular filter  ${}_D\mathcal{F}$  on  $D$ , we can associate an element  ${}_D\varphi_{\mathcal{F}}$  of  $\text{Mult}(H(D), \mathcal{U}_D)$  such that  $\forall f \in H(D)$ ,  ${}_D\varphi_{\mathcal{F}}(f) = \lim_{D\mathcal{F}} |f(x)|$ . The mapping  ${}_D\Phi : {}_D\mathcal{F} \mapsto {}_D\varphi_{\mathcal{F}}$  is a bijection from  $\Theta(D)$  onto  $\text{Mult}(H(D), \mathcal{U}_D)$  (Theorem 4.14 [7]).

Then, as in  $\text{Mult}(\mathbb{K}[X])$ , this bijection defines an order relation and a distance on  $\text{Mult}(H(D), \mathcal{U}_D)$ , also respectively denoted by  $\preceq$  and  $\delta$ ; they are defined in a natural way as:  ${}_D\varphi_{\mathcal{F}} \preceq {}_D\varphi_{\mathcal{G}}$  if  ${}_D\mathcal{F} \preceq {}_D\mathcal{G}$  and  $\delta({}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{G}}) = \delta({}_D\mathcal{F}, {}_D\mathcal{G})$ . Given two circular filters  ${}_D\mathcal{F}$  and  ${}_D\mathcal{G}$  on  $D$ , we define in a natural way the *segment*  $[{}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{G}}]$  of  $\text{Mult}(H(D), \mathcal{U}_D)$  as  $[{}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{G}}] = \{ {}_D\varphi_{\mathcal{H}} \in \text{Mult}(H(D), \mathcal{U}_D) \mid {}_D\varphi_{\mathcal{F}} \preceq {}_D\varphi_{\mathcal{H}} \preceq {}_D\varphi_{\mathcal{G}} \}$ .

As we did on  $\text{Mult}(\mathbb{K}[X])$ , we will denote by  $\mathcal{S}$  the topology of simple convergence on  $\text{Mult}(H(D), \mathcal{U}_D)$  and by  $\mathfrak{T}_\delta$  the metric one (defined by  $\delta$ ).

**Proposition 4.1.** *On  $\text{Mult}(H(D), \mathcal{U}_D)$ , the topology  $\mathfrak{T}_\delta$  is thinner than the topology  $\mathcal{S}$ .*

**Proof.** The proof is similar to this of Proposition 3.1. For  $h \in H(D)$ , let  $\xi_h$  be the mapping from  $\text{Mult}(H(D), \mathcal{U}_D)$  onto  $\mathbb{R}$  such that  $\xi_h({}_D\varphi_{\mathcal{F}}) = {}_D\varphi_{\mathcal{F}}(h) = \lim_{D\mathcal{F}} |h(x)|$ . It is known that  $\mathcal{S}$  is the least thin topology on  $\text{Mult}(H(D), \mathcal{U}_D)$  such that  $\xi_h$  is continuous for all  $h \in H(D)$ . So, by proving that  $\xi_h$  is continuous for  $\mathfrak{T}_\delta$ , we will show that  $\mathfrak{T}_\delta$  is thinner than  $\mathcal{S}$ .

We denote by  $B({}_D\varphi_{\mathcal{F}}, \beta)$  the open ball in  $\text{Mult}(H(D), \mathcal{U}_D)$  of center  ${}_D\varphi_{\mathcal{F}}$  and radius  $\beta$  with respect to the distance  $\delta$ . Given  $\varepsilon > 0$ , by definition of  ${}_D\varphi_{\mathcal{F}}(h)$ , there exists an element  $A \subset \mathbb{K}$  of a canonical basis of  $\mathcal{F}$  such that

$$(1) \quad |\varphi_{\mathcal{F}}(h) - |h(x)||_\infty < \varepsilon, \quad \forall x \in A \cap D.$$

If  $\mathcal{F}$  is large and admits a center (resp.  $\mathcal{F}$  has no center or  $\mathcal{F}$  is a Cauchy circular filter),  $A$  is of the form  $\bigcap_{i \in I} \Gamma(a_i, r_i, r)$  (resp.  $d(a, r)$ ) with  $r > \text{diam}(\mathcal{F})$  and  $|a_i - a_j| = \text{diam}(\mathcal{F})$  if  $i \neq j$  (resp.  $r > \text{diam}(\mathcal{F})$ ).

Let  $\lambda = \sup_{i \in I} (r_i)$ ,  $\alpha = \inf(r - \text{diam}(\mathcal{F}), \text{diam}(\mathcal{F}) - \lambda)$  (resp.  $\alpha = r - \text{diam}(\mathcal{F})$ ). For  ${}_D\varphi_{\mathcal{G}} \in B({}_D\varphi_{\mathcal{F}}, \alpha)$ , the circular filter  ${}_D\mathcal{G}$  is secant with  $A \cap D$ . Hence by (1), we have  $|{}_D\varphi_{\mathcal{G}}(h) - {}_D\varphi_{\mathcal{F}}(h)|_\infty < \varepsilon$ . As  $|\xi_h({}_D\varphi_{\mathcal{G}}) - \xi_h({}_D\varphi_{\mathcal{F}})|_\infty = |{}_D\varphi_{\mathcal{G}}(h) - {}_D\varphi_{\mathcal{F}}(h)|_\infty$ , for all  ${}_D\varphi_{\mathcal{G}} \in B({}_D\varphi_{\mathcal{F}}, \alpha)$ , we have  $|\xi_h({}_D\varphi_{\mathcal{G}}) - \xi_h({}_D\varphi_{\mathcal{F}})|_\infty < \varepsilon$ . Hence  $\xi_h$  is continuous for  $\mathfrak{T}_\delta$  and so,  $\mathfrak{T}_\delta$  is thinner than  $\mathcal{S}$ .

**Remark.** Take care that, here, topologies  $\mathcal{S}$  and  $\mathfrak{T}_\delta$  may be equivalent in certain particular cases. See explanations and examples in Chapter IV.

**Notation and definitions.** As for  $\text{Mult}(\mathbb{K}[X])$ , given  ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$ ,  $f \in H(D)$ ,  $\varepsilon > 0$  we will denote by  $V({}_D\varphi_{\mathcal{F}}, f, \varepsilon)$  the set of the  ${}_D\varphi_{\mathcal{G}} \in \text{Mult}(H(D), \mathcal{U}_D)$  such that  $|{}_D\varphi_{\mathcal{F}}(f) - {}_D\varphi_{\mathcal{G}}(f)|_\infty < \varepsilon$ . So, we have a basis of neighbourhoods of any  ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$

for the topology  $\mathcal{S}$  by taking the sets of the form  $\bigcap_{j=1}^q V({}_D\varphi_{\mathcal{F}}, f_j, \varepsilon_j)$ ,  $q \in \mathbb{N}^*$  that we call *canonical neighbourhoods* of  ${}_D\varphi_{\mathcal{F}}$ .

We will denote by  $W({}_D\varphi_{\mathcal{F}}, f, \varepsilon)$  the set of the  ${}_D\varphi_{\mathcal{G}} \in \text{Mult}(H(D), \mathcal{U}_D)$  such that  $|{}_D\varphi_{\mathcal{F}}(f) - {}_D\varphi_{\mathcal{G}}(f)|_{\infty} \leq \varepsilon$ . Thus, we also have a basis of neighbourhoods of  ${}_D\varphi_{\mathcal{F}}$  with respect to  $\mathcal{S}$  by taking the sets of the form  $\bigcap_{j=1}^q W({}_D\varphi_{\mathcal{F}}, f_j, \varepsilon_j)$ ,  $q \in \mathbb{N}^*$ .

**Proposition 4.2.** *Let  $D$  be infraconnected. Then every segment in  $\text{Mult}(H(D), \mathcal{U}_D)$  is arc-connected with respect to both topologies.*

**Proof.** Let  ${}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{G}} \in \text{Mult}(H(D), \mathcal{U}_D)$  such that  ${}_D\varphi_{\mathcal{F}} \preceq {}_D\varphi_{\mathcal{G}}$ . As  $\mathcal{F}$  and  $\mathcal{G}$  are secant with  $D$ , by Lemma 4.1, every circular filter of  $[\mathcal{F}, \mathcal{G}]$  is secant with  $D$ . Further, as every circular filter  $\mathcal{H} \in [\mathcal{F}, \mathcal{G}]$  such that  $\mathcal{F} \prec \mathcal{H}$  is large, we see that every circular filter in  $[\mathcal{F}, \mathcal{G}]$  induces a circular filter on  $D$ . Hence we may consider the segment  $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}]$  in  $\text{Mult}(\mathbb{K}[X])$  as a subset of  $\text{Mult}(H(D), \mathcal{U}_D)$ . Then, by Theorem 3.1,  $[\varphi_{\mathcal{F}}, \varphi_{\mathcal{G}}]$  is arc-connected with respect to  $\mathfrak{T}_{\delta}$  and therefore by Proposition 4.1, it is arc-connected with respect to  $\mathcal{S}$ .

**Definitions.** An element  $u \in H(D)$  will be called *idempotent* if  $u(x) = 0$  or  $u(x) = 1$  for every  $x \in D$ . (This definition holds even when  $D \notin \mathcal{A}$ ).

An idempotent  $u$  is said to be *trivial* if  $u = 0$  or  $u = 1$ .

Now we can prove the following theorem.

**Theorem 4.1.** *Given  $D \subset \mathbb{K}$ , the following properties are equivalent:*

- i) There does not exist non-trivial idempotents on  $H(D)$ .*
- ii)  $D$  is infraconnected.*
- iii)  $\text{Mult}(H(D), \mathcal{U}_D)$  is arc-connected with respect to the topology  $\mathcal{S}$ .*
- iv)  $\text{Mult}(H(D), \mathcal{U}_D)$  is connected with respect to the topology  $\mathcal{S}$ .*

**Proof.** Since it is known that  $i) \Leftrightarrow ii)$  ([5] and [7]) and since trivially  $iii) \Rightarrow iv)$ , we only have to prove that  $ii) \Rightarrow iii)$  and that  $iv) \Rightarrow i)$ .

We first show that  $ii) \Rightarrow iii)$ . The proof is similar to this of Proposition 3.2. Let  ${}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{G}} \in \text{Mult}(H(D), \mathcal{U}_D)$ . Then  $\mathcal{F}$  and  $\mathcal{G}$  are two

circular filters on  $\mathbb{K}$  secant with  $D$ . By Proposition 4.2, the circular filter  $\mathcal{M}_{\mathcal{F},\mathcal{G}}$  is secant with  $D$ . Hence by Proposition 4.2, both  $[{}_D\varphi_{\mathcal{F}}, {}_D\varphi_{\mathcal{M}_{\mathcal{F},\mathcal{G}}}]$  and  $[{}_D\varphi_{\mathcal{G}}, {}_D\varphi_{\mathcal{M}_{\mathcal{F},\mathcal{G}}}]$  are arc-connected subsets of  $\text{Mult}(H(D), \mathcal{U}_D)$  with respect to  $\mathcal{S}$ . Hence, we may obviously construct a continuous path  $f$  from  $[0, 1]$  into  $\text{Mult}(H(D), \mathcal{U}_D)$ , provided with  $\mathcal{S}$ , such that  $f(0) = {}_D\varphi_{\mathcal{F}}$  and  $f(1) = {}_D\varphi_{\mathcal{G}}$ .

Now we prove that  $iv) \Rightarrow i)$ . Suppose that there exists a non-trivial idempotent  $f$  in  $H(D)$ . Then, for all  ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$ , we have either  ${}_D\varphi_{\mathcal{F}}(f) = 0$  or  ${}_D\varphi_{\mathcal{F}}(f) = 1$ . Let  $A$  and  $B$  be the subsets of  $\text{Mult}(H(D), \mathcal{U}_D)$  defined as  $A = \{{}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D) \mid {}_D\varphi_{\mathcal{F}}(f) = 0\}$  and  $B = \{{}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D) \mid {}_D\varphi_{\mathcal{F}}(f) = 1\}$ . We have  $A \cup B = \text{Mult}(H(D), \mathcal{U}_D)$ . Both  $A$  and  $B$  are not empty because for  $a \in D$  such that  $f(a) = 0$ , we have  ${}_D\Phi(\mathcal{F}_a) \in A$ , and for  $b \in D$  such that  $f(b) = 1$ , we have  ${}_D\Phi(\mathcal{F}_b) \in B$ . So, we just have to check that  $A$  is closed. Let  ${}_D\varphi_{\mathcal{F}} \in \overline{A}$ , and let  ${}_D\varphi_{\mathcal{G}} \in V({}_D\varphi_{\mathcal{F}}, f, \frac{1}{2}) \cap A$ . Then  $|{}_D\varphi_{\mathcal{F}}(f) - {}_D\varphi_{\mathcal{G}}(f)|_{\infty} = |{}_D\varphi_{\mathcal{G}}(f)|_{\infty} \leq \frac{1}{2}$  and therefore  ${}_D\varphi_{\mathcal{F}}(f) = 0$ . In the same way, so is  $B$ . This ends the proof.

**Remark 1.** In general, in [10] B. Guennebaud proved that given a  $\mathbb{K}$ -Banach algebra, then  $\text{Mult}(A, \|\cdot\|)$  is connected if and only if  $A$  has no non trivial idempotents. Here we get a link between this property, arc-connectedness, and infraconnected sets.

**Remark 2.** According to [2], given an affinoid  $\mathbb{K}$ -algebra  $A$ , if  $\text{Mult}(A, \|\cdot\|)$  is connected then it is arc-connected.

According to [7, Th. 12.1], every element of  $\text{Mult}(R(D), \mathcal{U}_D)$  uniquely extends to  $H(D)$  to an element of  $\text{Mult}(H(D), \mathcal{U}_D)$ . Conversely, every element of  $\text{Mult}(H(D), \mathcal{U}_D)$  defines by restriction to  $R(D)$ , an element of  $\text{Mult}(R(D), \mathcal{U}_D)$ . Hence, since  $R(D)$  is dense in  $H(D)$  with respect to  $\mathcal{U}_D$ , we clearly see that  $\text{Mult}(H(D), \mathcal{U}_D)$  and  $\text{Mult}(R(D), \mathcal{U}_D)$  are homeomorphic with respect to the topology  $\mathcal{S}$ . So, we have the following theorem.

**Theorem 4.2.** *Given  $D \subset \mathbb{K}$ , the following properties are equivalent:*

- i)  $D$  is infraconnected.*
- ii)  $\text{Mult}(R(D), \mathcal{U}_D)$  is arc-connected with respect to the topology  $\mathcal{S}$ .*
- iii)  $\text{Mult}(R(D), \mathcal{U}_D)$  is connected with respect to the topology  $\mathcal{S}$ .*

**Notation.** As noticed in the Remark following Theorem 2.2, there exists a natural injection from  $\mathbb{K}$  into  $\text{Mult}(\mathbb{K}[X])$  that, to each point  $a \in \mathbb{K}$ , associates  $\varphi_a$ . In the same way, there exists a natural injection  $\Psi$  from  $D$  into  $\text{Mult}(H(D), \mathcal{U}_D)$  that, to each point  $a \in D$ , associates  ${}_D\varphi_a$ . So, every subset  $A$  of  $D$  may be considered as a subset of  $\text{Mult}(H(D), \mathcal{U}_D)$  and we denote by  $\underline{A}$  the closure of  $A$  in  $\text{Mult}(H(D), \mathcal{U}_D)$  with respect to  $\mathcal{S}$ .

If  $A$  is a subset of  $\mathbb{K}$ , we denote by  $U_A$  the set of the  $\varphi_{\mathcal{F}} \in \text{Mult}(\mathbb{K}[X])$  such that the associated circular filter  $\mathcal{F}$  on  $\mathbb{K}$  is secant with  $A$ .

In the same way, if  $A$  is a subset of  $D$ , we denote by  ${}_DU_A$  the set of the  ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$  such that  ${}_D\mathcal{F}$  is secant with  $A$ .

**Remark.** Given two subsets  $A$  and  $B$  of  $D$ ,  ${}_DU_{A \cap B}$  is included in  ${}_DU_A \cap {}_DU_B$ .

**Proposition 4.3.** *Let  $D \subset \mathbb{K}$ . For every subset  $A$  of  $D$ , we have  $\underline{A} = {}_DU_A$ .*

**Proof.** We first show that  ${}_DU_A \subset \underline{A}$ . Let  ${}_D\varphi_{\mathcal{F}} \in {}_DU_A$ . As  $\mathcal{F}$  is secant with  $A$ , there exists a sequence  $(x_n)_{n \in \mathbb{N}}$  in  $A$  thinner than  $\mathcal{F}$ . Then, for all  $f \in H(D)$ , we have  ${}_D\varphi_{\mathcal{F}}(f) = \lim_{n \rightarrow \infty} |f(x_n)| = \lim_{n \rightarrow \infty} {}_D\varphi_{x_n}(f)$ . Hence the sequence  $({}_D\varphi_{x_n})_{n \in \mathbb{N}}$  converges in  $\text{Mult}(H(D), \mathcal{U}_D)$  to  ${}_D\varphi_{\mathcal{F}}$  with respect to  $\mathcal{S}$ . Since for all  $n \in \mathbb{N}$ ,  ${}_D\varphi_{x_n}$  lies in  $A$ , then  ${}_D\varphi_{\mathcal{F}}$  lies in  $\underline{A}$ .

Now, we will show that  $\underline{A} \subset {}_DU_A$ . Let  ${}_D\varphi_{\mathcal{F}} \in \underline{A}$  and suppose that  $\mathcal{F}$  is not secant with  $A$ .

If  $\mathcal{F}$  has no center, we denote by  $(D_n)_{n \in \mathbb{N}} = d(a_n, r_n)_{n \in \mathbb{N}}$  a canonical basis of  $\mathcal{F}$ . So, there exists a disk  $D_i$  in this basis such that  $A \cap D_i = \emptyset$ . Hence, for all  $c \in A$ , we have  $|c - a_{i+1}| > r_i > r_{i+1}$  and therefore  $|\varphi_{\mathcal{F}}(x - a_{i+1}) - \varphi_{\mathcal{F}_c}(x - a_{i+1})|_{\infty} = |r_{i+1} - |c - a_{i+1}||_{\infty} > |r_i - r_{i+1}|_{\infty}$ . Hence, we have  $V({}_D\varphi_{\mathcal{F}}, x - a_{i+1}, r_i - r_{i+1}) \cap A = \emptyset$  and therefore  ${}_D\varphi_{\mathcal{F}} \notin \underline{A}$ , which contradicts the hypothesis.

If  $\mathcal{F}$  has a center and is large, then, there exists an infraconnected affinoid  $B$ , element of the canonical basis of  $\mathcal{F}$ , whose holes are denoted by  $T_i = d(a_i, r_i^-)$ ,  $i = 1, \dots, n$ , such that  $|a_i - a_j| = \text{diam}(\mathcal{F})$  for  $i \neq j$  and  $B \cap A = \emptyset$ . Since  $r_i < \text{diam}(\mathcal{F}) < \text{diam}(B)$  for all  $i = 1, \dots, n$ , there exists  $\varepsilon > 0$  such that  $\varepsilon < \text{diam}(B) - \text{diam}(\mathcal{F})$  and  $\varepsilon < \inf_{i=1, \dots, n} (\text{diam}(\mathcal{F}) - r_i)$ . Let  $b \in A$ . Then, since  $B \cap A = \emptyset$ , for all  $i \in \{1, \dots, n\}$ : either  $|b - a_i| < r_i$



or  $|b - a_i| > \text{diam}(B)$ , and therefore, we have either  $|\text{diam}(\mathcal{F}) - |b - a_i||_\infty > \text{diam}(\mathcal{F}) - r_i$ , or  $|\text{diam}(\mathcal{F}) - |b - a_i||_\infty > |\text{diam}(B) - \text{diam}(\mathcal{F})|_\infty$ . In both cases, we have  $|\text{diam}(\mathcal{F}) - |b - a_i||_\infty > \varepsilon$ , hence,  $|{}_D\varphi_b(x - a_i) - \varphi_{\mathcal{F}}(x - a_i)|_\infty > \varepsilon$ . This last inequality is obtained for all  $b \in A$ , hence, we have  $\bigcap_{i=1}^n V({}_D\varphi_{\mathcal{F}}, x - a_i, \varepsilon) \cap A = \emptyset$ , and then  ${}_D\varphi_{\mathcal{F}} \notin \underline{A}$ . This contradicts the hypothesis.

Finally suppose that  $\mathcal{F}$  is a Cauchy circular filter of center  $a$ . So, there exists a disk  $d(a, r)$  in  $\mathcal{F}$  such that  $d(a, r) \cap A = \emptyset$ . Hence, for  $r' \in ]0, r[$  we have  $|a - b| > r - r'$  for all  $b \in A$ . Hence we have  $V({}_D\varphi_{\mathcal{F}}, x - a, r - r') \cap A = \emptyset$ , which contradicts the hypothesis " ${}_D\varphi_{\mathcal{F}} \in \underline{A}$ " and completes the proof.

The two following lemmas are useful when proving Theorem 4.3.

**Lemma 4.3.** *Let  $\mathcal{F}$  be a circular filter on  $\mathbb{K}$ , let  $a \in \mathbb{K}$  and let  $r = \varphi_{\mathcal{F}}(x - a)$ .*

*If  $r > 0$  then for all  $\varepsilon \in ]0, r[$  we have  $W(\varphi_{\mathcal{F}}, x - a, \varepsilon) = U_{\Delta(a, r - \varepsilon, r + \varepsilon)}$ .*

*If  $r = 0$  then, for all  $\varepsilon > 0$ ,  $W(\varphi_{\mathcal{F}}, x - a, \varepsilon) = U_{d(a, \varepsilon)}$ .*

**Proof.** We notice that if  $r = 0$  then  $\mathcal{F}$  is the Cauchy circular filter of center  $a$ . Let  $\mathcal{G}$  be a circular filter on  $\mathbb{K}$  secant with  $\Delta(a, r - \varepsilon, r + \varepsilon)$  (resp.  $d(a, \varepsilon)$ ). There exists a sequence  $(\alpha_n)_{n \in \mathbb{N}}$  in  $\Delta(a, r - \varepsilon, r + \varepsilon)$  (resp.  $d(a, \varepsilon)$ ) thinner than  $\mathcal{G}$ . So, we have  $||\alpha_n - a| - r|_\infty \leq \varepsilon \ \forall n \in \mathbb{N}$ . But, since  $\varphi_{\mathcal{G}}(x - a) = \lim_{n \rightarrow +\infty} |\alpha_n - a|$ , we have  $|\varphi_{\mathcal{G}}(x - a) - r|_\infty \leq \varepsilon$ . Hence,  $\varphi_{\mathcal{G}} \in W(\varphi_{\mathcal{F}}, x - a, \varepsilon)$ .

Conversely, let  $\varphi_{\mathcal{G}} \in W(\varphi_{\mathcal{F}}, x - a, \varepsilon)$  (where  $r$  may be equal to 0). Then, we have  $|\varphi_{\mathcal{G}}(x - a) - r|_\infty \leq \varepsilon$ . We first suppose that  $a \in \mathcal{Q}(\mathcal{G})$ . If  $\varphi_{\mathcal{G}}(x - a) > r$  (resp.  $\varphi_{\mathcal{G}}(x - a) < r$ , resp.  $\varphi_{\mathcal{G}}(x - a) = r$ ), we consider an increasing (resp. decreasing, resp. monotonuous) distances sequence  $(\alpha_n)_{n \in \mathbb{N}} \subset d(a, \text{diam}(\mathcal{G})^-)$  (resp.  $(\alpha_n)_{n \in \mathbb{N}} \subset \mathbb{K}$ ) thinner than  $\mathcal{G}$  ([9, 7]). Since  $\varphi_{\mathcal{G}}(x - a) = \lim_{n \rightarrow +\infty} |\alpha_n - a|$ , there exists  $N_1 \in \mathbb{N}$  such that for all  $n \geq N_1$ , we have  $\varphi_{\mathcal{G}}(x - a) > |\alpha_n - a| > r$  (resp.  $\varphi_{\mathcal{G}}(x - a) < |\alpha_n - a| < r$ , resp.  $|r - |\alpha_n - a||_\infty < \varepsilon$ ). Now, for every  $B \in \mathcal{G}$ , there exists  $N_2 \in \mathbb{N}$  such that  $\alpha_n \in B$  whenever  $n \geq N_2$ . So,  $\mathcal{G}$  is secant with  $\Delta(a, r - \varepsilon, r + \varepsilon)$  (resp.  $d(a, \varepsilon)$ ). If  $a \notin \mathcal{Q}(\mathcal{G})$ , it is easily seen that there exists  $B \in \mathcal{G}$  such that  $\varphi_{\mathcal{G}}(x - a) = |y - a|$ , whenever  $y \in B$ . Hence  $B \subset \Delta(a, r - \varepsilon, r + \varepsilon)$  (resp.  $B \subset d(a, \varepsilon)$ ) and then it follows that  $\mathcal{G}$  is secant with  $\Delta(a, r - \varepsilon, r + \varepsilon)$  (resp.  $d(a, \varepsilon)$ ).

**Proposition 4.4.** For  $i \in \{1, \dots, n\}$ , let  $a_i \in \mathbb{K}$  and let  $r'_i > r_i > 0$ . Let  $E = \bigcap_{i=1}^n \Delta(a_i, r_i, r'_i)$ . Then  $\bigcap_{i=1}^n U_{\Delta(a_i, r_i, r'_i)} = U_E$ .

**Proof.** It is clear that  $U_E \subset \bigcap_{i=1}^n U_{\Delta(a_i, r_i, r'_i)}$ . Let  $\mathcal{F}$  be a circular filter on  $\mathbb{K}$  such that  $\varphi_{\mathcal{F}} \in \bigcap_{i=1}^n U_{\Delta(a_i, r_i, r'_i)}$ . If  $E = \emptyset$ , then the claim is trivial. So we suppose  $E \neq \emptyset$ . Then  $\bigcap_{i=1}^n d(a_i, r'_i) \neq \emptyset$ , hence we may assume  $a_1 \in \bigcap_{i=1}^n d(a_i, r'_i)$ . Let  $\rho = \inf_{1 \leq i \leq n} (r'_i)$ . Then  $\mathbb{K} \setminus E = (\mathbb{K} \setminus d(a_1, \rho)) \cup (\bigcup_{i=1}^n d(a_i, r_i^-))$ . More precisely, There exists a set  $I \subset \{1, \dots, n\}$  such that  $\mathbb{K} \setminus E = (\mathbb{K} \setminus d(a_1, \rho)) \cup (\bigcup_{i \in I} d(a_i, r_i^-))$  and  $d(a_i, r_i^-) \cap d(a_j, r_j^-) = \emptyset$  if  $i, j \in I$  and  $i \neq j$ . Suppose that  $\mathcal{F}$  is not secant with  $E$ . Then either it is secant with  $\mathbb{K} \setminus d(a_1, \rho)$  or it is secant with one of the  $d(a_i, r_i^-)$  ( $i \in I$ ) which are the holes of  $E$ .

Suppose first  $\mathcal{F}$  is secant with  $\mathbb{K} \setminus d(a_1, \rho)$ . Since it is not secant with  $E$ , more precisely there does exist  $\rho' > \rho$  such that  $\mathcal{F}$  is not secant with  $d(a_1, \rho')$ . And therefore  $\mathcal{F}$  is not secant with  $\Delta(a_1, r_1, r'_1)$ , which contradicts the hypothesis  $\varphi_{\mathcal{F}} \in U_{\Delta(a_1, r_1, r'_1)}$ .

Now suppose that  $\mathcal{F}$  is secant with a certain  $d(a_i, r_i^-)$  ( $i \in I$ ). Since  $\mathcal{F}$  is not secant with  $E$ , we have  $\text{diam}(\mathcal{F}) < r_i$  and therefore  $\mathcal{F}$  is not secant with  $\Delta(a_i, r_i, r'_i)$ . A contradiction with the hypothesis. As a consequence  $\mathcal{F}$  is secant with  $E$ , and therefore  $\varphi_{\mathcal{F}} \in U_E$ . This finishes proving that  $\bigcap_{i=1}^n U_{\Delta(a_i, r_i, r'_i)} \subset U_E$ .

**Theorem 4.3.** Let  $D$  be infraconnected and let  ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$ . Then the set  $\{{}_D U_A \mid A \in {}_D\mathcal{F}\}$  is a basis of the filter  $\mathfrak{F}$  of neighbourhoods of  ${}_D\varphi_{\mathcal{F}}$  with respect to  $\mathcal{S}$ .

**Proof.** It is clearly seen that  $\{{}_D U_A \mid A \in {}_D\mathcal{F}\}$  is a basis of a filter, since  $\emptyset \notin \{{}_D U_A \mid A \in {}_D\mathcal{F}\}$  and  ${}_D U_{A \cap B} \subset {}_D U_A \cap {}_D U_B$  for any  $A, B \in {}_D\mathcal{F}$ .

Let  $\bigcap_{j=1}^q V(D\varphi_{\mathcal{F}}, f_j, \varepsilon_j)$  be a canonical neighbourhood of  $D\varphi_{\mathcal{F}}$  and let  $\varepsilon = \inf_{i=1, \dots, q} (\varepsilon_i)$ . As  $D\varphi_{\mathcal{F}}(f_i) = \lim_{D\mathcal{F}} |f_i(x)|$ , for all  $i = 1, \dots, q$ , there exists an infraconnected affinoid element  $B_i$  of the canonical basis of  $\mathcal{F}$  (in  $\mathbb{K}$ ) such that  $|D\varphi_{\mathcal{F}}(f_i) - |f_i(x)||_{\infty} < \varepsilon, \forall x \in B_i \cap D$ . Let  $E = \bigcap_{j=1}^q B_j$ . Given  $D\varphi_{\mathcal{G}} \in \text{Mult}(H(D), \mathcal{U}_D)$  such that the circular filter  $D\mathcal{G}$  on  $D$  is secant with  $E$ , we have  $|D\varphi_{\mathcal{F}}(f_i) - D\varphi_{\mathcal{G}}(f_i)|_{\infty} < \varepsilon, \forall i = 1, \dots, q$ . Then  $DUE \subset \bigcap_{j=1}^q V(D\varphi_{\mathcal{F}}, f_j, \varepsilon_j)$ . Hence  $\bigcap_{j=1}^q V(D\varphi_{\mathcal{F}}, f_j, \varepsilon_j)$  belongs to  $\mathfrak{F}$  since  $\mathfrak{F}$  is a filter.

Now let  $A \in D\mathcal{F}$ . We first suppose that  $\mathcal{F}$  is large and has a center. So, there exists an infraconnected affinoid set  $B$  of the canonical basis of  $\mathcal{F}$  in  $\mathbb{K}$  such that  $B \cap D \subset A$  and such that the holes  $T_i = d(a_i, r_i^-)$  of  $B$  satisfy  $|a_i - a_j| = \text{diam}(\mathcal{F}), \forall i \neq j, i = 1, \dots, n$ . Let  $r = \sup_{i=1, \dots, n} (r_i)$ . It is clear that  $r < \text{diam}(\mathcal{F}) < \text{diam}(B)$ . Let  $\lambda > 0$  be such that  $\lambda < \inf(\text{diam}(\mathcal{F}) - r, \text{diam}(B) - \text{diam}(\mathcal{F}))$ . For all  $i \in \{1, \dots, n\}$  we have  $D\varphi_{\mathcal{F}}(x - a_i) = \text{diam}(\mathcal{F})$ . Put  $F = \bigcap_{i=1}^n \Delta(a_i, \text{diam}(\mathcal{F}) - \lambda, \text{diam}(\mathcal{F}) + \lambda) \cap D$  and  $F_i = \Delta(a_i, \text{diam}(\mathcal{F}) - \lambda, \text{diam}(\mathcal{F}) + \lambda) \cap D$  for  $i = 1, \dots, n$ . So, by Lemma 4.3 and Proposition 4.4, we have  $\bigcap_{i=1}^n V(D\varphi_{\mathcal{F}}, x - a_i, \lambda) = \bigcap_{i=1}^n V(\varphi_{\mathcal{F}}, x - a_i, \lambda) \cap \text{Mult}(H(D), \mathcal{U}_D) \subset \bigcap_{i=1}^n W(\varphi_{\mathcal{F}}, x - a_i, \lambda) \cap \text{Mult}(H(D), \mathcal{U}_D) = \bigcap_{i=1}^n DU_{F_i} \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_F \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_{B \cap D} \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_A$ .

Now, suppose that  $\mathcal{F}$  is a Cauchy circular filter of center  $a$ . So, there exists a disk  $d(a, r)$  such that  $d(a, r) \cap D \subset A$ . By Lemma 4.3 we see that  $W(D\varphi_{\mathcal{F}}, x - a, r) = W(\varphi_{\mathcal{F}}, x - a, r) \cap \text{Mult}(H(D), \mathcal{U}_D) = U_{d(a, r)} \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_A$ .

Finally we suppose that  $\mathcal{F}$  has no center. We denote by  $(D_n)_{n \in \mathbb{N}}$  a canonical basis  $(d(a_n, r_n))_{n \in \mathbb{N}}$  of  $\mathcal{F}$  in  $\mathbb{K}$ . There exists a disk  $D_i = d(a_i, r_i)$  of this basis such that  $D_i \cap D \in A$ . We may clearly suppose that  $a_i \notin D_{i+1} = d(a_{i+1}, r_{i+1})$ . Let  $\lambda > 0$  be such that  $\lambda < |a_{i+1} - a_i|$ . For all  $i \in \mathbb{N}$ , we put  $F_i = \Delta(a_{i+1}, \varphi_{\mathcal{F}}(x - a_{i+1}) - \lambda, \varphi_{\mathcal{F}}(x - a_{i+1}) + \lambda)$ , then by Lemma 4.3, we have  $V(D\varphi_{\mathcal{F}}, x - a_{i+1}, \lambda) = V(\varphi_{\mathcal{F}}, x - a_{i+1}, \lambda) \cap \text{Mult}(H(D), \mathcal{U}_D) \subset W(\varphi_{\mathcal{F}}, x - a_{i+1}, \lambda) \cap \text{Mult}(H(D), \mathcal{U}_D) = DU_{F_i} \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_{D_i \cap D} \cap \text{Mult}(H(D), \mathcal{U}_D) \subset DU_A$ .

So, in any case,  $DU_A$  is a neighbourhood of  $D\varphi_{\mathcal{F}}$  and this ends the proof.

**Corollary 4.1.** *Let  $D$  be infraconnected and let  $\mathcal{F}$  be a circular filter on  $\mathbb{K}$  secant with  $D$ . Let  $\mathcal{B}(\mathcal{F})$  be a basis of  $\mathcal{F}$ . Then, the set  $\{ {}_D U_{B \cap D} \mid B \in \mathcal{B}(\mathcal{F}) \}$  is a basis of the filter of neighbourhoods of  ${}_D \varphi_{\mathcal{F}}$  in  $\text{Mult}(H(D), \mathcal{U}_D)$  with respect to  $\mathcal{S}$ .*

**Corollary 4.2.** *Let  $D$  be infraconnected. If  $\mathbb{K}$  is weakly valued, then the filter of neighbourhoods of any  ${}_D \varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$  admits a countable basis.*

**Proof.** This is a direct consequence of Corollary 4.1, since a circular filter on  $\mathbb{K}$  admits a countable basis when  $\mathbb{K}$  is weakly valued, [7].

**Proposition 4.5.** *Let  $D \subset \mathbb{K}$  and let  $A$  be a closed subset of  $\mathbb{K}$  such that  $A \cap D \neq \emptyset$ . Then the mapping which to  ${}_{A \cap D} \varphi_{\mathcal{F}} \in \text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D})$ , associates its restriction  ${}_D \varphi_{\mathcal{F}}$  to  $H(D)$  is a continuous bijection from  $\text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D})$  into  ${}_D U_{A \cap D}$ , both provided with the topology of simple convergence.*

**Proof.** This mapping is denoted  $\phi$ . By Theorem 4.14 [7],  $\phi$  is injective. Now, let  ${}_D \varphi_{\mathcal{F}} \in {}_D U_{A \cap D}$ . So,  ${}_D \mathcal{F}$  is secant with  $A \cap D$ . First, suppose that  ${}_D \mathcal{F}$  is large, then it defines a circular filter on  $A \cap D$  and consequently,  ${}_{A \cap D} \varphi_{\mathcal{F}} \in \text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D})$  and  $\phi({}_{A \cap D} \varphi_{\mathcal{F}}) = {}_D \varphi_{\mathcal{F}}$ . On the other hand, if  ${}_D \mathcal{F}$  is a Cauchy circular filter on  $D$  of center  $a$ , then by definition  $a \in D$ . Further, as  $A$  is closed in  $\mathbb{K}$  and  ${}_D \mathcal{F}$  is secant with  $A$ , we see that  $a \in A$ . Therefore  $a \in A \cap D$  and then  ${}_{A \cap D} \varphi_a \in \text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D})$  and  $\phi({}_{A \cap D} \varphi_a) = {}_D \varphi_{\mathcal{F}} = {}_D \varphi_a$ . So,  $\phi$  is bijective.

Now, we will show that  $\phi$  is continuous. Let  ${}_D \varphi_{\mathcal{F}} \in {}_D U_{A \cap D}$  and let  $V = \bigcap_{j=1}^q V({}_D \varphi_{\mathcal{F}}, f_j, \varepsilon_j)$  ( $f_j \in H(D)$ ,  $\varepsilon_j > 0$  for all  $j \in \{1, \dots, q\}$  and  $q \in \mathbb{N}^*$ ) be a canonical neighbourhood of  ${}_D \varphi_{\mathcal{F}}$  with respect to topology of simple convergence on  ${}_D U_{A \cap D}$ . Then, obviously we see that

$$\phi^{-1}(V) = \bigcap_{j=1}^q V({}_{A \cap D} \varphi_{\mathcal{F}}, f_j /_{A \cap D}, \varepsilon_j) \text{ which is a canonical neighbourhood of } {}_{A \cap D} \varphi_{\mathcal{F}} \text{ with respect to topology of simple convergence on } \text{Mult}(H(A \cap D), \mathcal{U}_{A \cap D}).$$

This proves that  $\phi$  is continuous.

**Theorem 4.4.** *Let  $D$  be infraconnected. Then  $\text{Mult}(H(D), \mathcal{U}_D)$  is a local arc-connected space with respect to  $\mathcal{S}$ .*

**Proof.** We have to prove that, given any  ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$ , there exists a basis of neighbourhoods of  ${}_D\varphi_{\mathcal{F}}$  whose elements are arc-connected. In chapter 0, we have shown that a such circular filter  $\mathcal{F}$  on  $\mathbb{K}$  admits a basis  $\mathcal{B}(\mathcal{F})$  which consists of infraconnected affinoid sets. Given  $B \in \mathcal{B}(\mathcal{F})$  secant with  $D$ , by Lemma 1.1,  $B \cap D$  is infraconnected. Hence, by Theorem 4.1  $\text{Mult}(H(B \cap D), \mathcal{U}_{B \cap D})$  is arc-connected and then by Proposition 4.5,  ${}_DU_{B \cap D}$  is arc-connected too. This ends the proof.

**Remark.** It is well known that a topological space which is connected and locally arc-connected is arc-connected. Here, conversely, we have shown that when  $\text{Mult}(H(D), \mathcal{U}_D)$  is connected, then it is locally arc-connected. However, we notice that the proof is just based on Theorem 4.1. So, it does not seem easy to prove first the local arc-connectedness.

## 5 Metrizable of $(\text{Mult}(H(D), \mathcal{U}_D), \mathcal{S})$ .

In this chapter, we give some conditions for metrizable of the topology  $\mathcal{S}$  on  $\text{Mult}(H(D), \mathcal{U}_D)$  and we look for equivalence between topologies  $\mathcal{S}$  and  $\mathfrak{T}_{\delta}$ . We need the following basic lemma in topology (see, for example ex. 16A4 [13]).

**Notation.** Given any topological space  $E$ , countable intersection of open sets is usually named  $G_{\delta}$ -set. Here, in order to avoid any confusion with the distance  $\delta$  already defined, we will denote such a set a  $G_{\tau}$ -set.

**Lemma 5.1.** *Let  $(E, T)$  be a compact topological space and let  $x \in E$ . If  $\{x\}$  is a  $G_{\tau}$ -set, then  $x$  admits a countable system of neighbourhoods.*

**Proof.** Since  $\{x\}$  is a  $G_{\tau}$ -set, there exists a decreasing sequence of open sets  $(U_n)_{n \in \mathbb{N}}$  such that  $\{x\} = \bigcap_{n \in \mathbb{N}} U_n$ . Since  $E$  is a regular space, as it is compact, there exists a decreasing sequence of open sets  $(V_n)_{n \in \mathbb{N}}$  such that, for all  $n \in \mathbb{N}$ ,  $x \in V_n \subset \overline{V}_n \subset U_n$ . Let  $W$  be an open neighbourhood of  $x$ , and suppose that, for all  $n \in \mathbb{N}$ ,  $\overline{V}_n$  is not included in  $W$ . Then, the sequence  $(\overline{V}_n \setminus W)_{n \in \mathbb{N}}$  is a decreasing sequence of compact subsets of  $E$ . So, their intersection is not empty. This contradicts the fact that  $\{x\} = \bigcap_{n \in \mathbb{N}} U_n$ . Hence, there exists  $N \in \mathbb{N}$ , such that  $\overline{V}_n \subset W$  and therefore, the sequence  $(V_n)_{n \in \mathbb{N}}$  is a countable system of neighbourhoods of  $x$ . This ends the proof.

**Theorem 5.1.** *Let  $D \subset \mathbb{K}$  be closed and bounded. If  $\text{Mult}(H(D), \mathcal{U}_D)$  is countable, then the topology  $\mathcal{S}$  is metrizable.*

**Proof.** By Tykhonov's theorem, it is known that when  $D$  is closed and bounded, then  $\text{Mult}(H(D), \mathcal{U}_D)$  is compact with respect to  $\mathcal{S}$ , Theorem 1.11 [7]. Suppose that

$\text{Mult}(H(D), \mathcal{U}_D)$  is countable. Given any  $\varphi \in \text{Mult}(H(D), \mathcal{U}_D)$ , it is clearly seen that  $\{\varphi\}$  is a  $G_\tau$ -set because it is the intersection of complementaries of a countable family of finite subsets of  $\text{Mult}(H(D), \mathcal{U}_D)$  which do not contain  $\varphi$ . Then, by Lemma 5.1, every  $\varphi \in \text{Mult}(H(D), \mathcal{U}_D)$  admits a countable system of neighbourhoods. Hence, since  $\text{Mult}(H(D), \mathcal{U}_D)$  is countable, there exists a countable basis of open sets for the topology  $\mathcal{S}$ . Then, by the Nagata-Smirnov Theorem [3],  $\mathcal{S}$  is metrizable.

Recall that  $\Psi$  denotes the injection from  $D$  into  $\text{Mult}(H(D), \mathcal{U}_D)$  that, to each point  $a \in D$ , associates  ${}_D\varphi_a$ .

**Definition.**  $D$  will be said simple if there is no large circular filter on  $D$ . i.e. if  $\Psi$  is a bijection onto  $\text{Mult}(H(D), \mathcal{U}_D)$ .

**Remark.** If a closed simple set  $D$  lies in  $\mathcal{A}$ , then it is bounded. In order to simplify notation, when  $D$  is simple, we will identify every  $a \in D$  with  $\Psi(a)$ .

Simplicity is not equivalent to countability as it will be shown in Example 2.

**Theorem 5.2.** *Let  $D \in \mathcal{A}$  be closed. The following propositions are equivalent:*

- i)  $D$  is simple.*
- ii)  $D$  is compact.*
- iii)  $\Psi$  is a bijection.*
- iv) Topologies  $\mathcal{S}$  and  $\mathfrak{T}_\delta$  on  $\text{Mult}(H(D), \mathcal{U}_D)$  are equivalent.*

**Proof.** For convenience we identify  $D$  with  $\Psi(D)$ . The equivalence between *i)* and *iii)* is obvious. We first show that *i)  $\Leftrightarrow$  iv)*. Given  $\varepsilon > 0$  and  ${}_D\varphi_{\mathcal{F}} \in \text{Mult}(H(D), \mathcal{U}_D)$ , we denote by  $B({}_D\varphi_{\mathcal{F}}, \varepsilon)$  the open ball in

$\text{Mult}(H(D), \mathcal{U}_D)$  of center  ${}_D\varphi_{\mathcal{F}}$  and radius  $\varepsilon$  with respect to the distance  $\delta$ .

$i) \Rightarrow iv)$ . Suppose that  $D$  is simple. Given  $a \in D$  and  $\varepsilon > 0$ , by definition of the distance  $\delta$  it is seen that  $B(a, \varepsilon) = \{y \in D \mid |y - a| < \frac{\varepsilon}{2}\}$ . For any  $x, y \in D$ , we define  $P_y \in H(D)$  by  $P_y(x) = x - y$ . Then we see that  $B(a, \varepsilon) = V(a, P_a, \frac{\varepsilon}{2})$ , and then  $B(a, \varepsilon)$  is an open set with respect to  $\mathcal{S}$ . This shows that  $\mathcal{S}$  is thinner than  $\mathfrak{T}_\delta$ , and then, by Proposition 4.1, topologies  $\mathcal{S}$  and  $\mathfrak{T}_\delta$  are equivalent.

$iv) \Rightarrow i)$ . We suppose that  $D$  is not simple. Hence, there exists a large circular filter  ${}_D\mathcal{F}$  on  $D$ . By Lemma 3.2 [7], there exists a sequence  $(x_n)_{n \in \mathbb{N}}$  thinner than  ${}_D\mathcal{F}$ . Let  $\beta > 0$  be such that  $\beta < \text{diam}({}_D\mathcal{F})$ . For all  $a \in \mathbb{K}$ , we clearly have  $\delta({}_D\varphi_{\mathcal{F}}, {}_D\varphi_a) \geq \text{diam}({}_D\mathcal{F})$  and then  $B({}_D\varphi_{\mathcal{F}}, \beta)$  does not contain images by  ${}_D\Phi$  of Cauchy filters on  $D$ , i.e.  $B({}_D\varphi_{\mathcal{F}}, \beta)$  does not contain images by  $\Psi$  of points of  $D$ .

Let us take a basic open set  $W$  of the topology  $\mathcal{S}$ . It is of the form  $\bigcap_{j=1}^q V({}_D\varphi_{\mathcal{F}}, h_j, \varepsilon_j)$ ,  $q \in \mathbb{N}^*$ . We put  $\varepsilon = \inf_{j=1, \dots, q} \varepsilon_j$ . Since the sequence  $(x_n)_{n \in \mathbb{N}}$  is thinner than  ${}_D\mathcal{F}$ , there exists  $N \in \mathbb{N}$  such that, for all  $n \geq N$  and for all  $j = 1, \dots, q$ , we have  $|{}_D\varphi_{\mathcal{F}}(h_j) - |h_j(x_n)||_\infty < \varepsilon$ . Hence,  $W$  contains all images by  ${}_D\Phi$  of Cauchy filters on  $D$  associated to the  $x_n$ ,  $n \geq N$ . So,  $B({}_D\varphi_{\mathcal{F}}, \beta)$  may not be an open set for the topology  $\mathcal{S}$ , and therefore  $\mathcal{S}$  and  $\mathfrak{T}_\delta$  are not equivalent.

$iv) \Rightarrow ii)$ . We have seen that if topologies  $\mathcal{S}$  and  $\mathfrak{T}_\delta$  on  $\text{Mult}(H(D), \mathcal{U}_D)$  are equivalent, then  $D$  is simple. Since  $D$  is closed, by the previous remark, it is bounded too. Hence,  $\text{Mult}(H(D), \mathcal{U}_D)$  is compact with respect to  $\mathcal{S}$  ([7, Th 1.11]). The mapping  $\Psi$ , which is a bijection, is here an homeomorphism because the distance  $\delta$  extends that of  $D$ . Hence,  $D$  is compact.

Finally we show that  $ii) \Rightarrow i)$ . Suppose that  $D$  is not simple. There exists a large circular filter  ${}_D\mathcal{G}$  on  $D$ . It is known that there exists a monotonous distances sequence  $(x_n)_{n \in \mathbb{N}} \subset D$ , thinner than  ${}_D\mathcal{G}$ . But such a sequence does not admit accumulation point with respect to the metric topology of  $\mathbb{K}$ . As a consequence,  $D$  is not compact. This shows  $ii) \Rightarrow i)$  and completes the proof.

**Example 1.** In this example, we construct a set  $D$  closed, bounded and not simple such that  $\text{Mult}(H(D), \mathcal{U}_D)$  is countable. By Theorem 5.1,  $\mathcal{S}$  is metrizable, but by Theorem 5.2, topologies  $\mathcal{S}$  and  $\mathfrak{T}_\delta$  are not

equivalent. However, we are not able to construct a distance giving  $\mathcal{S}$ .

Let  $(a_n)_{n \in \mathbb{N}}$  be an injective sequence in  $d(0, 1)$  such that,  $\forall p, q \in \mathbb{N}$ ,  $p \neq q$ ,  $|a_p - a_q| = 1$  (each  $a_n$  lies in a different class of  $d(0, 1)$ ). We put  $D = \cup_{n \in \mathbb{N}} \{a_n\}$ . The only one large circular filter on  $\mathbb{K}$  secant with  $D$  is the circular filter  $\mathcal{G}$  of center 0 and diameter 1. Then,  $\text{Mult}(H(D), \mathcal{U}_D) = (\cup_{n \in \mathbb{N}} D\varphi_{a_n}) \cup D\varphi_{\mathcal{G}}$  is countable.

**Example 2.** In this example, we show a set  $D$  closed, bounded and simple but not countable. Hence, by Theorem 5.2, this shows that topologies  $\mathcal{S}$  and  $\mathfrak{T}_\delta$  are equivalent on  $\text{Mult}(H(D), \mathcal{U}_D)$  although  $D$  is not countable.

Let  $p$  be a prime number. We put  $\mathbb{K} = \mathbb{C}_p$  and  $D = \mathbb{Z}_p$ . It is well known that  $\mathbb{Z}_p$  is not countable, but since  $\mathbb{Z}_p$  is compact, then it is simple. In particular, there is no large circular filter on  $\mathbb{C}_p$  secant with  $\mathbb{Z}_p$ .

**Remark.** We have seen that countability of  $\text{Mult}(H(D), \mathcal{U}_D)$  is not a necessary condition for metrizability of the topology  $\mathcal{S}$  and that simplicity of  $D$  is not sufficient. It seems difficult to find a convenient necessary and sufficient condition for metrizability.

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